

Ab Initio Study of the CO<sub>2</sub> Dimer and the CO<sub>2</sub> Ion Complexes (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> and (CO<sub>2</sub>)<sub>3</sub><sup>+</sup>

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Ab initio calculations were performed at several levels for neutral CO<sub>2</sub>, CO<sub>2</sub> dimer, and the cations (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> and (CO<sub>2</sub>)<sub>3</sub><sup>+</sup>. At the highest level, a CO<sub>2</sub> neutral dimer of C<sub>2h</sub> symmetry (staggered side-by-side) is bound by 1.3 kcal/mol, which is 0.2 kcal/mol more stable than a T-shaped dimer (C<sub>2v</sub> symmetry). The dissociation energy of a (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> complex of C<sub>2h</sub> symmetry is calculated to be 16.2 kcal/mol which is 4.4 kcal/mol greater than the dissociation energy of the T-shaped (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> complex. Due to a smaller amount of spin contamination (spin polarization), the stability of this complex which is characterized by a partial bond between oxygens is overestimated at the [MP4SDQ/6-31G\*] level relative to the T-shaped ion complex and CO<sub>2</sub><sup>+</sup> (plus CO<sub>2</sub>) which have significant spin contamination. Projecting out the largest spin contaminant at the PMP2/6-31G\* level and at the PMP4/3-21G level leads to an estimated decrease in the energy separation between the C<sub>2h</sub> symmetry complex and the T-shaped complex of 6.3 kcal/mol (relative to the [MP4SDQ/6-31G\*] method). Two trimers of (CO<sub>2</sub>)<sub>3</sub><sup>+</sup> were considered, a cyclic complex (C<sub>3h</sub>) and a cross-shaped complex (D<sub>2h</sub>). The more stable (CO<sub>2</sub>)<sub>3</sub><sup>+</sup> complex (D<sub>2h</sub>) is bound by 16.0 kcal/mol with respect to monomers. Vibrational frequencies were used to compute entropies of reaction for (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> → CO<sub>2</sub> + CO<sub>2</sub><sup>+</sup> and (CO<sub>2</sub>)<sub>3</sub><sup>+</sup> → 2CO<sub>2</sub> + CO<sub>2</sub><sup>+</sup>.

## Introduction

The study of gas-phase cluster ions has shown accelerated growth in recent years.<sup>1-7</sup> As examples of interactions which are part electrostatic-part electronic, ion-molecular clusters provide a unique probe into bond energies which vary from van der Waals attractions to chemical bonds (3-30 kcal/mol). In addition, the study of cluster ions provides insight into the transition from the gas phase to the condensed phase as the cluster size increases.<sup>8</sup> The chemistry of CO<sub>2</sub> cluster ions is of interest to atmospheric studies of Earth, Mars, and Venus.<sup>9</sup> The enthalpy and entropy of binding have been experimentally determined,<sup>10-14</sup> as well as the mode of decomposition for (CO<sub>2</sub>)<sub>2</sub><sup>+</sup><sup>15-19</sup> and (CO<sub>2</sub>)<sub>3</sub><sup>+</sup>.<sup>20</sup>

While no theoretical calculations have been reported for CO<sub>2</sub> ion clusters, several studies have been made on the neutral dimer.<sup>21-24</sup> Interpretations of IR,<sup>25-30</sup> Raman,<sup>31</sup> and molecular beam experiments<sup>32,33</sup> suggest that the structure is either of C<sub>2v</sub> symmetry (T-shaped)<sup>25-27,32</sup> or of C<sub>2h</sub> symmetry (staggered side-by-side).<sup>29-31,33</sup> A good summary is given in a CARS study<sup>31</sup> which presents convincing evidence for the nonpolar C<sub>2h</sub> configuration, in agreement with the majority of theoretical studies.<sup>22-24</sup>

## Method

In the following study the program package GAUSSIAN 82 was used throughout.<sup>34</sup> Open-shell species were calculated by using the unrestricted Hartree-Fock (UHF) method for which it is known that the MP perturbation treatment may be slow to converge for large spin contamination.<sup>35</sup> Recent work has shown

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TABLE I: Total Energies (hartrees) for CO<sub>2</sub> and CO<sub>2</sub> Complexes<sup>a</sup>

	CO <sub>2</sub> (D <sub>∞h</sub> , <sup>1</sup> Σ <sub>g</sub> <sup>+</sup> )	CO <sub>2</sub> <sup>+</sup> (D <sub>∞h</sub> , <sup>2</sup> Π <sub>g</sub> )	CO <sub>2</sub> <sup>+</sup> (D <sub>∞h</sub> , <sup>2</sup> Π <sub>u</sub> )	(CO <sub>2</sub> ) <sub>2</sub> (C <sub>2v</sub> , <sup>1</sup> A <sub>1</sub> )	(CO <sub>2</sub> ) <sub>2</sub> (C <sub>2v</sub> , <sup>1</sup> A <sub>2</sub> )	(CO <sub>2</sub> ) <sub>2</sub> <sup>+</sup> (C <sub>2v</sub> , <sup>2</sup> B <sub>2</sub> )	(CO <sub>2</sub> ) <sub>2</sub> <sup>+</sup> (C <sub>2h</sub> , <sup>2</sup> B <sub>u</sub> )	(CO <sub>2</sub> ) <sub>3</sub> <sup>+</sup> (D <sub>2h</sub> , <sup>2</sup> B <sub>3g</sub> )	(CO <sub>2</sub> ) <sub>3</sub> <sup>+</sup> (C <sub>3h</sub> , <sup>2</sup> E)
3-21G Geometries									
ZPC(NEV) <sup>b</sup>	7.45 (0)	4.80 (0)	7.14 (0)	15.25 (1)	15.43 (0)	12.82 (0)	12.94 (1)	20.68 (1)	21.21 (2) <sup>c</sup>
3-21G	-186.56126	-186.11763	-185.89536	-373.12698	-373.12818	-372.70618	-372.68997	-559.29067	-559.26195
6-31G	-187.51483	-187.06532	-186.84078	-375.03221	-375.03198	-374.60273	-374.57839	-562.13728	-562.10995
6-31G*	-187.63342	-187.17536	-186.95465	-375.26742	-375.26745	-374.82350	-374.80818	-562.47034	-562.44994
MP2/6-31G	-187.86342	-187.34865	-187.22458	-375.72875	-375.72873	-375.23462	-375.26677	-563.11679	-563.09555
MP3/6-31G	-187.83050	-187.34291	-187.16721	-375.66353	-375.66349	-375.19699	-375.20930		
MP4SDQ/6-31G	-187.85359	-187.36474	-187.22171	-375.70956	-375.70963	-375.24182	-375.25526		
MP2/6-31G*	-188.10542	-187.58078	-187.45092	-376.21264	-376.21314	-375.70528	-375.74122		
PUHF/3-21G	-186.56126	-186.14266	-185.90710			-372.73099	-372.70020		
PMP2/3-21G	-186.90559	-186.42781	-186.28912			-373.36014	-373.38218		
PMP3/3-21G	-186.87470	-186.41503	-186.22997			-373.31745	-373.32410		
PMP4/3-21G	-186.89662	-186.43598	-186.28147			-373.36017	-373.36762		
PUHF/6-31G*	-187.63342	-187.20129				-374.84916	-374.81859		
PMP2/6-31G*	-188.10542	-187.60251				-375.72680	-375.74863		
PMP3/6-31G*	-188.09141	-187.60362							
PMP4/6-31G*	-188.10544	-187.62072							
6-31G* Geometries									
6-31G*	-187.63418	-187.17659	-186.95705	-375.26978	-375.27023	-374.82693	-374.81062		
MP2/6-31G*	-188.10220	-187.58067	-187.45170	-376.20650	-376.20713	-375.70142	-375.73574		

<sup>a</sup> MP perturbation treatment is made using the frozen-core approximation. <sup>b</sup> Zero point energy (kcal/mol) with the number of negative eigenvalues of the force constant matrix given in parentheses. <sup>c</sup> Not a stationary point.

that projecting out the largest spin contaminant (spin annihilation), after the perturbation corrections are made for electron correlation, improves agreement with experiment.<sup>36,37</sup> For that reason, the effect of spin contamination for species in the present study was examined by annihilation of the next highest spin component in the UHF wave function.<sup>36,37</sup>

Two schemes were used to estimate energies at higher levels of theory. In the first scheme, denoted [MP2/6-31G\*], the effects of extending the 6-31G basis set to include polarization (6-31G\*) and correlation (MP2/6-31G) are added. This approximation has been tested and found to be accurate to within 5 kcal/mol for most closed-shell molecules compared to relative energies obtained when correlation is explicitly included in the larger basis set.<sup>38</sup> However, when relative energies of open-shell species which differ in the amount of spin contamination are compared, the agreement is poorer.<sup>39</sup> The reason is that the energy lowering due to correlation is dependent on the degree of spin contamination. The correlation effect will be smaller for wave functions with significant spin polarization since spatial separation of electrons reduces the need for electrons to correlate their motion. Since the degree of spin contamination (spin polarization) is dependent on the basis set, the correlation effect for one basis set (6-31G) may not be a reasonable estimate for another (6-31G\*).<sup>39</sup> An estimate of relative energies using a spin projected wave function is denoted [PMP2/6-31G\*] and is expected to avoid the difficulty of basis set dependent spin contamination.

The second method of estimating relative energies,<sup>40</sup> denoted [MP4SDQ/6-31G\*] (or [PMP4/6-31G\*] using spin-projected wave functions), adds the effect of extending the MP2/6-31G level of theory to include the effect of polarization (MP2/6-31G\*) and additional correlation (MP4SDQ/6-31G) as shown in eq 1 where the estimated level is offset with brackets. At the estimated  $\Delta E[\text{MP4SDQ}/6-31\text{G}^*] = \Delta E(\text{MP2}/6-31\text{G}^*) + \Delta E(\text{MP4SDQ}/6-31\text{G}) - \Delta E(\text{MP2}/6-31\text{G})$  (1)

TABLE II: Calculated Adiabatic Ionization Potential (eV) for the First Two Electronic States of CO<sub>2</sub><sup>+</sup>

basis set	CO <sub>2</sub> <sup>+</sup> ( <sup>2</sup> Π <sub>g</sub> )	CO <sub>2</sub> <sup>+</sup> ( <sup>2</sup> Π <sub>u</sub> )
3-21G Geometry		
3-21G	12.08	18.12
6-31G	12.24	18.35
6-31G*	12.47	18.48
MP2/6-31G	14.01	17.39
MP3/6-31G	12.68	18.05
MP4SDQ/6-31G	13.31	17.20
MP2/6-31G*	14.28	17.82
PUHF/3-21G	11.39	17.81
PMP2/3-21G	13.00	16.78
PMP3/3-21G	12.51	17.55
PMP4/3-21G	12.54	16.74
PUHF/6-31G*	11.76	18.12
PMP2/6-31G*	13.69	17.56
PMP3/6-31G*	13.28	18.19
PMP4/6-31G*	13.19	17.61
[MP2/6-31G*] (+ZPC)	14.24 (14.13)	17.52 (17.51)
[MP4SDQ/6-31G*] (+ZPC)	13.58 (13.47)	17.63 (17.62)
[PMP2/6-31G*] (+ZPC)	13.37 (13.26)	17.45 (17.44)
[PMP4/6-31G*] (+ZPC)	13.23 (13.12)	17.52 (17.51)
6-31G* Geometry		
6-31G*	12.45	18.43
MP2/6-31G* (+ZPC//3-21G)	14.20 (14.09)	17.71 (17.70)
expt <sup>a</sup>	13.77	17.31

<sup>a</sup> Franklin, J. L.; Dillard, J. G.; Rosenstock, H. M.; Herron, J. T.; Draxl, K.; Field, F. H. "Ionization Potentials, Appearance Potentials, and Heats of Formation of Gaseous Positive Ions"; *Natl. Stand. Ref. Data Ser. (U.S.) Natl. Bur. Stand.* 1969; NSRDS-NBS 26.

[PMP2/6-31G\*] and [PMP4/631G\*] levels, correlation is estimated starting from the 3-21G basis set rather than the 6-31G basis set.

## Results and Discussion

Total energies at several levels are given in Table I. Geometries of all species except the (CO<sub>2</sub>)<sub>3</sub><sup>+</sup> ion complexes were optimized at both the 3-21G and the 6-31G\* basis set levels. It seems that the 3-21G geometries are better than the 6-31G\* geometries judging by energies at the MP2/6-31G\*//3-21G and MP2/6-31G\*//6-31G\* level (Table I). For all species except the <sup>2</sup>Π<sub>u</sub> state of CO<sub>2</sub><sup>+</sup>, the use of 3-21G geometries for single-point calculations at the MP2/6-31G\* level produces lower total energies than 6-31G\* geometries. While adding polarization functions resulted in longer interactions (compared to 3-21G geometries) between CO<sub>2</sub> units (Figure 1), the further addition of correlation

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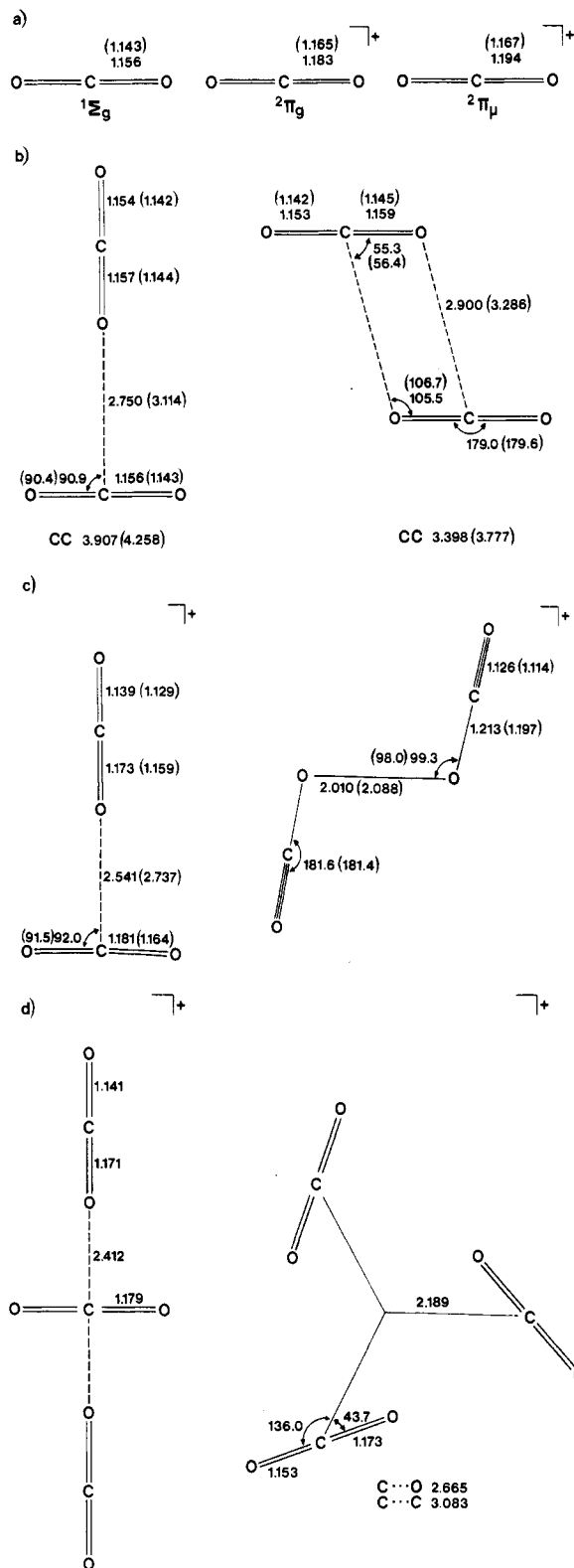
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**Figure 1.** Calculated geometric parameters for various species at the 3-21G and (6-31G\*) levels: (a) CO<sub>2</sub> and two states of CO<sub>2</sub><sup>+</sup>; (b) two forms of (CO<sub>2</sub>)<sub>2</sub><sup>+</sup>; (c) two forms of (CO<sub>2</sub>)<sub>2</sub><sup>+</sup>; (d) two forms of (CO<sub>2</sub>)<sub>3</sub><sup>+</sup>.

(i.e., MP2/6-31G\*) must favor shorter interactions (compared to 6-31G\* geometries). As has been shown for the (H<sub>2</sub>S)<sub>2</sub><sup>+</sup> complex,<sup>41</sup> the two corrections nearly cancel, leading to good agreement between the 3-21G (optimized) and the MP2/6-31G\* (partially optimized) geometries. Unless otherwise indicated, reported energies will be at the 3-21G optimized geometries. The

**TABLE III: Spin-Squared Values (before Projection) for Various Open-Shell Species**

basis set	CO <sub>2</sub> <sup>+</sup>		(CO <sub>2</sub> ) <sub>2</sub> <sup>+</sup>		(CO <sub>2</sub> ) <sub>3</sub> <sup>+</sup>	
	<sup>2</sup> Π <sub>g</sub>	<sup>2</sup> Π <sub>u</sub>	C <sub>2v</sub>	C <sub>2h</sub>	D <sub>2h</sub>	C <sub>3h</sub>
3-21G	0.99	0.82	0.98	0.81	0.99	0.96
6-31G	1.01	0.82	1.01	0.82	1.01	0.98
6-31G*	0.90	0.82	0.98	0.80	0.98	0.95
MP2/6-31G	0.93	0.78	0.93	0.79	0.93	0.90
MP2/6-31G*	0.90	0.78	0.90	0.77		

**TABLE IV: Vibrational Frequencies (cm<sup>-1</sup>) Calculated at the 3-21G Level for CO<sub>2</sub><sup>+</sup> (<sup>2</sup>Π<sub>g</sub>)<sup>a</sup>**

sym	linear		nonlinear <sup>b</sup>		obsd <sup>c</sup>	
	freq		sym	freq	CO <sub>2</sub> <sup>+</sup>	CO <sub>2</sub>
π <sub>u</sub>	-450/859		a <sub>1</sub>	466	519	667
σ <sub>u</sub>	1194		b <sub>2</sub>	1194	1230	1388
σ <sub>g</sub>	1230		a <sub>1</sub>	1230	1475	2349

<sup>a</sup> The zero-point energy of the <sup>2</sup>Π<sub>u</sub> state of CO<sub>2</sub><sup>+</sup> was estimated by calculating the vibrational frequencies in the C<sub>2v</sub> point group and doubling the contribution of the lowest frequency (which is degenerate in the linear ion). For the <sup>2</sup>Π<sub>g</sub> state this approximation leads to a zero-point energy of 4.80 kcal/mol. <sup>b</sup> The O=C=O angle is set to 179.99°. <sup>c</sup> Baer, T.; Guyon, P. M. *J. Chem. Phys.* **1986**, *85*, 4765-4778 and references cited therein.

optimized geometries for all the molecules reported in this work are shown in Figure 1.

CO<sub>2</sub><sup>+</sup>. A single-configurational SCF approach cannot describe the wave function symmetry for a degenerate electronic state such as the <sup>2</sup>Π<sub>g</sub> or <sup>2</sup>Π<sub>u</sub> states of CO<sub>2</sub><sup>+</sup>. Nevertheless the energy of one component of the electronic state is moderately well reproduced as shown in Table II where energies relative to CO<sub>2</sub> are reported. At the PMP4/6-31G\* level, the adiabatic ionization energy for the <sup>2</sup>Π<sub>g</sub> state of CO<sub>2</sub><sup>+</sup> is underestimated by 13.4 kcal/mol (0.58 eV), while the ionization energy for the <sup>2</sup>Π<sub>u</sub> state is overestimated by 6.9 kcal/mol (0.30 eV).

The amount of spin contamination differs between the <sup>2</sup>Π<sub>g</sub> (1.01-0.90) and the <sup>2</sup>Π<sub>u</sub> (0.77-0.82) states of CO<sub>2</sub><sup>+</sup> (Table III). In rough correspondence, the effect of different orders of MP perturbation is much larger for the <sup>2</sup>Π<sub>g</sub> than the <sup>2</sup>Π<sub>u</sub> state (MP2/6-31G through MP4SDQ/6-31G, Table II) when both are compared to neutral CO<sub>2</sub>. Projecting out the next higher spin contaminant (PMP2/3-21G - PMP4/3-21G and PMP2/6-31G\* - PMP4/6-31G\*) does not improve the rate of convergence. Thus, even higher orders of perturbation may be required for the ionization potential to converge with respect to order of perturbation for the <sup>2</sup>Π<sub>g</sub> state of CO<sub>2</sub><sup>+</sup>.

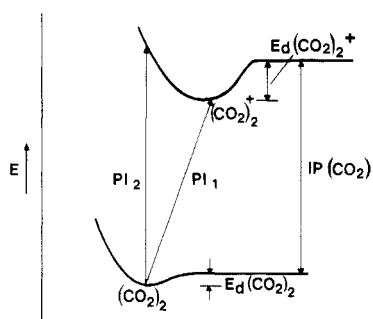
When the vibrational frequencies of the CO<sub>2</sub><sup>+</sup> ionic states were calculated by using analytical second derivatives, an imaginary mode was obtained for one component of the degenerate bending mode (Table IV). This can be traced to the inadequacies of the single determinantal wave function describing the doubly degenerate state of the linear molecule. If a slight distortion of the O=C=O angle is made (179.99°), the electronic degeneracy is lifted and the bending mode in C<sub>2v</sub> symmetry is found to be 466 cm<sup>-1</sup>. The zero point energy can then be estimated for linear CO<sub>2</sub><sup>+</sup> by doubling the contribution from the single bending mode. There is little variation between the two methods for the symmetric and antisymmetric C=O stretches.

(CO<sub>2</sub>)<sub>2</sub>. Some doubt exists on the structure of the CO<sub>2</sub> dimer. Experimental and theoretical work can be cited which favor either the T-shaped structure (C<sub>2v</sub>)<sup>21,25-27,32</sup> or the staggered side-by-side structure (C<sub>2h</sub>).<sup>22-24,29-31,33</sup> Both the structure and the binding of the neutral complex are of interest to experimentalists. A different geometry for the neutral (CO<sub>2</sub>)<sub>2</sub> complex compared to the ion complex, (CO<sub>2</sub>)<sub>2</sub><sup>+</sup>, might lead to an underestimation of the binding energy of the ion complex by photoionization (PI) methods since the observed excitation of (CO<sub>2</sub>)<sub>2</sub> may not correspond to the minimum energy structure of (CO<sub>2</sub>)<sub>2</sub><sup>+</sup>.<sup>18</sup> The dissociation energy of (CO<sub>2</sub>)<sub>2</sub>, E<sub>d</sub>(CO<sub>2</sub>)<sub>2</sub>, is also required in order to extract the dissociation energy of the complex (E<sub>d</sub>(CO<sub>2</sub>)<sub>2</sub><sup>+</sup>) from the photoionization data. The data required in the photoionization

(41) Fernández, P. F.; Ortiz, J. V.; Walter, E. A. *J. Chem. Phys.* **1986**, *84*, 1653-1658.

TABLE V: Calculated Dissociation Energy (kcal/mol) for  $(\text{CO}_2)_2$  and  $(\text{CO}_2)_2^+$ 

basis set	$(\text{CO}_2)_2$		$(\text{CO}_2)_2^+$	
	$C_{2v}$	$C_{2h}$	$C_{2v}$	$C_{2h}$
	3-21G Geometry			
3-21G	2.80	3.55	17.13	6.95
6-31G	1.60	1.46	14.17	1.10
6-31G*	0.36	0.38	9.24	0.38
MP2/6-31G	1.20	1.19	14.15	34.34
MP3/6-31G	1.59	1.56	14.80	22.53
MP4SDQ/6-31G	1.49	1.54	14.74	23.18
MP2/6-31G*	1.13	1.44	11.98	34.54
PUHF/3-21G			16.99	-2.34
PMP2/3-21G			16.78	30.62
PMP3/3-21G			17.40	21.57
PMP4/3-21G			17.30	21.98
PUHF/6-31G*			9.07	-10.12
PMP2/6-31G*			11.84	25.55
[MP2/6-31G*] (+ZPC)	-0.04 (-0.39)	0.11 (-0.42)	9.22 (8.65)	33.62 (32.93)
[MP4SDQ/6-31G*] (+ZPC)	1.42 (1.07)	1.79 (1.26)	12.57 (12.00)	23.38 (22.69)
[PMP2/6-31G*] (+ZPC)			8.86 (8.29)	22.84 (22.15)
[PMP4/6-31G*] (+ZPC)			12.36 (11.79)	16.90 (16.21)
dipole moment/6-31G*	0.36	0.0		
	6-31G* Geometry			
6-31G*	0.89	1.17	10.24	-0.09
MP2/6-31G* (+ZPC//3-21G)	1.32 (0.98)	1.71 (1.18)	11.64 (11.07)	33.19 (32.50)
dipole moment/6-31G*	0.22	0.0		



**Figure 2.** Simplified diagram illustrating the various components needed in a photoionization experiment to obtain the dissociation energy of  $(\text{CO}_2)_2^+$ . If a geometry change occurs between the neutral and charged cluster, the photoionization energy may be too large ( $PI_2$ ), resulting in an underestimation of the dissociation energy of  $(\text{CO}_2)_2^+$ .

experiment are given in Figure 2. If the geometries of the neutral and charged complexes are significantly different, the desired value,  $PI_1$ , may not be observed (due to small Franck–Condon factors) but rather  $PI_2$  is measured where  $PI_2 > PI_1$ . The dissociation energy of  $(\text{CO}_2)_2^+$  is given as eq 2. An uncertainty in

$$E_d(\text{CO}_2)_2^+ = IP(\text{CO}_2) + E_d(\text{CO}_2)_2 - PI \quad (2)$$

$E_d(\text{CO}_2)_2$  will lead to an equal uncertainty in  $E_d(\text{CO}_2)_2^+$  (Figure 2). Also, a large change in the equilibrium geometry of  $(\text{CO}_2)_2$  and  $(\text{CO}_2)_2^+$  may lead to an overestimation of the photoionization energy and consequently to an underestimation of the  $(\text{CO}_2)_2^+$  dissociation energy. Photoionization measurements often lead to a smaller bond dissociation energy compared to equilibrium measurements.<sup>18</sup> In the case of  $(\text{CO}_2)_2^+$  the former leads to a value of about 12 kcal/mol while the latter leads to a value of about 16 kcal/mol.

Various calculations and empirical schemes for estimating dissociation energies for  $(\text{CO}_2)_2$  predict a value of 1–2 kcal/mol yielding the staggered side-by-side structure ( $C_{2h}$ ) more stable than the T-shaped structure ( $C_{2v}$ ).<sup>22–24</sup> The dissociation energies calculated at various levels are given in the first two columns of Table V. The  $C_{2h}$  structure is more stable than the  $C_{2v}$  structure at almost all levels. In fact the one calculated imaginary frequency<sup>42</sup> of the  $C_{2v}$  structure at the 3-21G level ( $15i \text{ cm}^{-1}$ ) corresponds to a motion in the direction of the  $C_{2h}$  structure. At the

[MP4SDQ/6-31G\*] + ZPC level, the dissociation energy of  $(\text{CO}_2)_2$  is 1.3 kcal/mol and favors the  $C_{2h}$  form over the  $C_{2v}$  form by 0.2 kcal/mol.

The optimized C–C distance in the  $C_{2v}$  structure (Figure 1) is calculated to be 3.907 Å at the 3-21G level (4.258 Å, 6-31G\*) while the same parameter in the  $C_{2h}$  structure is 3.398 Å (3.777 Å, 6-31G\*). This compares to previous calculated C–C separations of 4.1–4.3 Å for the  $C_{2v}$  structure and 3.4–3.5 Å for the  $C_{2h}$  structure.<sup>24</sup> The persistent preference of the  $C_{2h}$  structure over the  $C_{2v}$  structure is in agreement with recent experimental evidence.<sup>31</sup> In order to assist infrared studies, the predicted vibration frequencies of the complexes at the 3-21G level are given in Table VI where the new bands due to association are indicated.

$(\text{CO}_2)_2^+$ . The calculated dissociation energy for  $(\text{CO}_2)_2^+$  is also given in Table V. The  $C_{2v}$  symmetry structure (T-shaped) is less stable than the  $C_{2h}$  symmetry structure (slipped side-by-side) by 10.7 kcal/mol at the [MP4SDQ/6-31G\*] + ZPC level and by 4.4 kcal/mol at the [PMP4/6-31G\*] + ZPC level. Geometric parameters at the 3-21G and 6-31G\* levels are given in Figure 1 for both structures. The distortions of the  $C_{2v}$  ion complex correspond to a charged  $\text{CO}_2^+$  forming the head of the T-shaped structure. The  $C_{2h}$  structure contains symmetric  $\text{CO}_2$  moieties which are characterized by a short oxygen–oxygen interaction (2.010 Å, 3-21G; 2.088 Å, 6-31G\*). The adjacent C–O distances have lengthened to 1.213 Å at the 3-21G level (1.197 Å, 6-31G\*) and suggest that the O–O interaction represents a 2-center 3-electron bond. It is known that the stability of some cluster ions, such as  $(\text{CO})_2^+$ , is greater than expected for ion–dipole interactions and it has been suggested<sup>1</sup> that some sharing of electrons (bonding) by the two molecules must occur.

At the 6-31G\*\*/3-21G level the  $C_{2h}$  ion complex is bound by only 0.4 kcal/mol compared to 9.2 kcal/mol for the  $C_{2v}$  complex. However, correlation has a dramatic effect on the  $C_{2h}$  structure which becomes more stable than the  $C_{2v}$  structure. At the 3-21G level the  $C_{2h}$  structure has one large imaginary frequency<sup>42</sup> ( $2272i \text{ cm}^{-1}$ ) which when reoptimized in the  $C_s$  point group using the 3-21G basis, rearranges to the  $C_{2v}$  structure which is 10.2 kcal/mol more stable at that level. In order to predict realistic frequencies for the  $C_{2v}$  structure one would have to calculate frequencies with a method that gives the correct ordering of energies (i.e., MP2/3-21G or better).<sup>43</sup>

(42) A single imaginary frequency is characteristic of saddle points (transition states) while local minima have all real frequencies. Hehre, W. J.; Radom, L.; Schleyer, P. v. R.; Pople, J. A. *Ab Initio Molecular Orbital Theory*; Wiley: New York, 1986.

(43) Testing for the number of negative eigenvalues of the force constant matrix is important since it allows one to characterize points on the energy hypersurface at that level. However, there is no guarantee that the nature of stationary points will be carried over when higher levels of theory are employed.

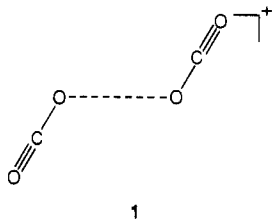
TABLE VI: Calculated Vibrational Frequencies (cm<sup>-1</sup>) at the 3-21G Level for (CO<sub>2</sub>)<sub>2</sub> and (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> Structures<sup>a</sup>

(CO <sub>2</sub> ) <sub>2</sub>				(CO <sub>2</sub> ) <sub>2</sub> <sup>+</sup>			
C <sub>2h</sub>		C <sub>2v</sub>		C <sub>2v</sub>		C <sub>2h</sub>	
sym	freq	sym	freq	sym	freq	sym	freq
a <sub>u</sub>	43	b <sub>2</sub>	15i	b <sub>2</sub>	40	b <sub>u</sub>	2272i
b <sub>u</sub>	55	b <sub>1</sub>	27	b <sub>2</sub>	99	a <sub>u</sub>	63
a <sub>g</sub>	75	a <sub>1</sub>	95	b <sub>1</sub>	99	a <sub>g</sub>	153
a <sub>g</sub>	179	b <sub>2</sub>	98	a <sub>1</sub>	186	b <sub>u</sub>	203
b <sub>g</sub>	657 (659, CO <sub>2</sub> )	b <sub>2</sub>	657	a <sub>1</sub>	449 (515, CO <sub>2</sub> <sup>+</sup> )	a <sub>g</sub>	438
b <sub>u</sub>	661 (659, CO <sub>2</sub> )	b <sub>1</sub>	658	b <sub>1</sub>	584 (515, CO <sub>2</sub> <sup>+</sup> )	b <sub>g</sub>	611
a <sub>u</sub>	669 (659, CO <sub>2</sub> )	a <sub>1</sub>	660	b <sub>2</sub>	665 (659, CO <sub>2</sub> )	a <sub>u</sub>	622
a <sub>g</sub>	671 (659, CO <sub>2</sub> )	b <sub>1</sub>	666	b <sub>1</sub>	666 (659, CO <sub>2</sub> )	a <sub>g</sub>	657
b <sub>u</sub>	1426 (1428, CO <sub>2</sub> )	a <sub>1</sub>	1429	b <sub>2</sub>	1012 (1197, CO <sub>2</sub> <sup>+</sup> )	b <sub>u</sub>	822
a <sub>g</sub>	1427 (1428, CO <sub>2</sub> )	a <sub>1</sub>	1433	a <sub>1</sub>	1244 (1230, CO <sub>2</sub> <sup>+</sup> )	a <sub>g</sub>	1280
b <sub>u</sub>	2465 (2463, CO <sub>2</sub> )	b <sub>2</sub>	2470	a <sub>1</sub>	1427 (1428, CO <sub>2</sub> )	b <sub>u</sub>	1871
a <sub>g</sub>	2466 (2463, CO <sub>2</sub> )	a <sub>1</sub>	2474	a <sub>1</sub>	2500 (2463, CO <sub>2</sub> )	a <sub>g</sub>	2333

<sup>a</sup>In parentheses are given the frequencies of the unperturbed CO<sub>2</sub> or CO<sub>2</sub><sup>+</sup> modes. The four unlabeled frequencies originate from the association of two molecules. The frequencies for CO<sub>2</sub><sup>+</sup> are from the numerical results in Table IV where the π<sub>u</sub> mode is taken as the average of the two bending frequencies. See Table IV for the experimental frequencies of CO<sub>2</sub> and CO<sub>2</sub><sup>+</sup>.

Part of the stabilizing effect of correlation on the C<sub>2h</sub> structure is due to the smaller degree of spin contamination compared to CO<sub>2</sub><sup>+</sup> (plus CO<sub>2</sub>) and the C<sub>2v</sub> ion complex, (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> (Table II). Accounting for correlation through MP4SDQ/6-31G reduces the stability of the C<sub>2h</sub> structure compared to the C<sub>2v</sub> structure at the MP2/6-31G level (Table V), but as shown<sup>39</sup> in the reaction H<sup>+</sup> + C<sub>2</sub>H<sub>4</sub> → C<sub>2</sub>H<sub>5</sub><sup>+</sup>, a 10 kcal/mol error may remain in the barrier at the MP4 level due to different degrees of spin contamination in the transition state and reactants. Binding energies at spin projected levels (Table V) are about the same for the C<sub>2h</sub> complex at the MP4SDQ/6-31G level compared to the PMP4/3-21G level (23.2 and 22.0 kcal/mol, respectively). However, a much larger difference is found for the MP2/6-31G\* level compared to the PMP2/6-31G\* (34.5 and 25.6 kcal/mol, respectively). When the results are combined, the binding energy for the C<sub>2h</sub> complex is reduced from 22.7 kcal/mol at the [MP4SDQ/6-31G\*] + ZPC level to 16.2 kcal/mol at the [PMP4/6-31G\*] + ZPC level.

In the C<sub>2h</sub> ion complex, the unpaired spin is located principally on the two adjacent oxygens. A valence bond description would contain a significant contribution from **1** in which the unpaired



electron is in an antibonding O–O orbital. The O–O bond order would then be approximately 1/2 (1 bonding electron pair – 1/2 antibonding electron pair).

A comparison of calculated and experimental thermodynamic values for the (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> complex is made in Table VII. The small energy difference between the C<sub>2v</sub> and C<sub>2h</sub> structures makes it difficult to predict which structure will ultimately be favored in the limit of basis set improvement and more extensive correlation. Thermodynamic properties cannot be calculated for the C<sub>2h</sub> ion complex due to the qualitative difference in the potential energy surface (and hence in the vibrational frequencies) at the 3-21G level and at higher levels. However, the calculated entropy change at the 3-21G level for the dissociation of the C<sub>2v</sub> ion complex (Table VII) is in good agreement with experiment.

The calculated vibrational frequencies of the C<sub>2v</sub> and C<sub>2h</sub> ion complexes are given in Table VI where each frequency can be assigned to CO<sub>2</sub>, CO<sub>2</sub><sup>+</sup>, or the association of CO<sub>2</sub> and CO<sub>2</sub><sup>+</sup>. These will be useful in calculations using statistical phase space theory which requires the vibrational frequencies of the ion complex. In a recent application to (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> these frequencies were estimated.<sup>19</sup>

(CO<sub>2</sub>)<sub>3</sub><sup>+</sup>. Two geometries were considered for the (CO<sub>2</sub>)<sub>3</sub><sup>+</sup> ion complex, one of C<sub>3h</sub> symmetry and the second of D<sub>2h</sub> symmetry

TABLE VII: Heat Capacities and Entropies (cal mol<sup>-1</sup> K<sup>-1</sup>) at the 3-21G Level<sup>a</sup> and Enthalpies (kcal mol<sup>-1</sup> at 0 K) Calculated for (CO<sub>2</sub>)<sub>2</sub>, (CO<sub>2</sub>)<sub>2</sub><sup>+</sup>, and (CO<sub>2</sub>)<sub>3</sub><sup>+</sup>

complex	sym	ΔC,	ΔS		ΔH	
		this work	this work	exptl	this work	exptl
(CO <sub>2</sub> ) <sub>2</sub>	C <sub>2h</sub>	3.78	19.74		1.26	
(CO <sub>2</sub> ) <sub>2</sub> <sup>+</sup>	C <sub>2v</sub>	2.87	21.31	19.1 <sup>b</sup>	11.8 (12.0) <sup>c</sup>	
	C <sub>2h</sub>				16.2 (22.2) <sup>c</sup>	15.6 <sup>b</sup>
(CO <sub>2</sub> ) <sub>3</sub> <sup>+</sup> <sup>d</sup>	D <sub>2h</sub>	6.86	47.90	42.5 <sup>e</sup>	16.0	23.0 <sup>f</sup>

<sup>a</sup>The heat capacity and entropy for CO<sub>2</sub><sup>+</sup> were calculated by taking finite differences of the analytical first derivatives to determine vibrational frequencies for the linear ion. <sup>b</sup>Reference 14. <sup>c</sup>Estimated at the [PMP4/6-31G\*] + ZPC level with the value at the [MP4SDQ/6-31G\*] + ZPC level in parentheses. <sup>d</sup>For the reaction (CO<sub>2</sub>)<sub>3</sub><sup>+</sup> → 2CO<sub>2</sub> + CO<sub>2</sub><sup>+</sup>. <sup>e</sup>Reference 14. Sum of entropy differences for the first two steps (19.1 + 23.4 cal mol<sup>-1</sup> K<sup>-1</sup>). <sup>f</sup>The experimental dissociation energy of CO<sub>2</sub> in the reaction (CO<sub>2</sub>)<sub>3</sub><sup>+</sup> → CO<sub>2</sub> + (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> is added to the dissociation energy of CO<sub>2</sub> in the reaction (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> → CO<sub>2</sub> + CO<sub>2</sub><sup>+</sup> (ref 14). An upper bound of 4.9 kcal/mol has been recently reported for the CO<sub>2</sub>-cluster bond energy. Alexander, M. L.; Johnson, M. A.; Lineberger, W. C. *J. Chem. Phys.* **1985**, *82*, 5288–5289.

TABLE VIII: Calculated Dissociation Energies (kcal/mol) of (CO<sub>2</sub>)<sub>3</sub><sup>+</sup> to Monomers

basis set	(CO <sub>2</sub> ) <sub>3</sub> <sup>+</sup>	
	D <sub>2h</sub>	C <sub>3h</sub>
3-21G geometry		
3-21G	31.71	13.68
6-31G	26.55	9.40
6-31G*	17.66	4.86
MP2/6-31G	25.92	12.59
[MP2/6-31G*] (+ZPC//3-21G)	17.03 (16.05)	8.05 (6.54)

(Figure 1). The D<sub>2h</sub> structure resembles the T-shaped structure with an additional CO<sub>2</sub> molecule added to the opposite side while the C<sub>3h</sub> structure would permit interactions between oxygens (Figure 1). At all levels the D<sub>2h</sub> symmetry structure is more stable than the C<sub>3h</sub> structure (Table VIII). The degenerate electronic state of the C<sub>3h</sub> complex (<sup>2</sup>E') indicates that some stabilization would result as a consequence of a Jahn–Teller distortion.<sup>44</sup> In fact, the C<sub>3h</sub> structure is not a stationary point at the UHF/3-21G level as nonzero gradients for distortion from C<sub>3h</sub> symmetry are calculated at the optimized C<sub>3h</sub> geometry.

The geometry of the D<sub>2h</sub> (CO<sub>2</sub>)<sub>3</sub><sup>+</sup> ion complex closely resembles that of the C<sub>2v</sub> (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> complex where the O–C interaction has decreased 0.12 Å (2.541 Å → 2.412 Å; 3-21G). The total dissociation is estimated to be 16.0 kcal/mol at the [MP2/6-31G\*] + ZPC level (Table VIII), while the dissociation energy for one

CO<sub>2</sub> is calculated to be 4.0 kcal/mol if the dissociation energy of the (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> complex is determined from the C<sub>2v</sub> structure at the [MP4SDQ/6-31G\*] + ZPC level (12.0 kcal/mol). The experimental values<sup>14</sup> for complete dissociation and dissociation of one CO<sub>2</sub> are 23.0 and 7.4 kcal/mol, respectively. The calculated entropy change of 47.9 cal mol<sup>-1</sup> K<sup>-1</sup> is 26.6 cal mol<sup>-1</sup> K<sup>-1</sup> greater than the dissociation of one CO<sub>2</sub> from (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> (Table VII). This value compares favorably with the experimental value<sup>14</sup> of 42.5 cal mol<sup>-1</sup> K<sup>-1</sup> for the sum of the first two steps and 23.4 cal mol<sup>-1</sup> K<sup>-1</sup> for the entropy change of the second step.

### Conclusion

A study has been made of the energetics of clustering in CO<sub>2</sub>. The neutral (CO<sub>2</sub>)<sub>2</sub> complex is more stable in a C<sub>2h</sub> symmetry structure and is bound by 1.3 kcal/mol. The (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> ion complex displays two types of binding. In the C<sub>2v</sub> ion complex the interaction is an ion-induced dipole interaction while the C<sub>2h</sub> ion complex is bound by a 2-center 3-electron bond. The C<sub>2h</sub> structure is predicted to be 4.4 kcal/mol more stable but higher levels of correlation could favor the C<sub>2v</sub> structure relative to the C<sub>2h</sub> structure. The fact that photoionization and thermodynamic

determinations of the dissociation energy disagree may indicate a large geometry change upon ionization. From a comparison of the C<sub>2h</sub> and the C<sub>2v</sub> symmetry ion complexes, it is clear that both involve large changes in geometry from the C<sub>2h</sub> symmetry neutral dimer. Therefore differences in the photoionization and thermodynamic dissociation energies cannot be used to determine which complex is more likely. Higher levels of theory will be required before a definitive prediction can be made for the structure of this ion complex.

The trimer ion is predicted to be of D<sub>2h</sub> symmetry. The first CO<sub>2</sub> is calculated to be bound by 12.0 kcal/mol while the second CO<sub>2</sub> is bound by 4.0 kcal/mol, which is in reasonable agreement with experimental values corrected to 0 K (15.6 and 7.4 kcal/mol, respectively). The entropy change for dissociation of CO<sub>2</sub> from (CO<sub>2</sub>)<sub>2</sub><sup>+</sup> is calculated to be 21.3 entropy units while dissociation of one CO<sub>2</sub> from (CO<sub>2</sub>)<sub>3</sub><sup>+</sup> is 26.6 entropy units.

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## An Infrared and Raman Study of the Isotopic Species of $\alpha$ -Quartz

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We have obtained Raman and infrared spectra for the 28/30 Si and 16/18 O isotopic species of  $\alpha$ -quartz. The isotopic frequency shifts give valuable information on atomic participation in individual vibrational modes. Comparisons of our isotopic shifts with displacement patterns predicted from a number of dynamical calculations have been made. Most calculated modes agree well with the observed isotope shifts, but some do not. For example, the strong Raman line at 464 cm<sup>-1</sup> shows no silicon displacement but a large oxygen shift, consistent with its usual assignment to a motion of the bridging oxygens in the plane bisecting the SiOSi linkage. However, the soft mode at 206 cm<sup>-1</sup>, which is commonly accepted as being due to motion of Si and O around a 3-fold screw axis related to the  $\alpha$ - $\beta$  displacive transition in quartz, shows no Si isotope shift and hence no silicon participation in this vibration.

### Introduction

The dynamics of  $\alpha$ -quartz have been the subject of considerable experimental and theoretical study. This phase is of particular interest since it forms the simplest crystalline silicate, and also because it shows a displacive phase transition associated with soft phonon behavior to the  $\beta$ -form at 575 °C.<sup>1</sup> In the present study, we have applied the classic technique of isotopic substitution to gain a better understanding of the atomic displacements associated with  $k = 0$  vibrational modes in  $\alpha$ -quartz.

Quartz is an excellent candidate for an isotopic substitution experiment since there are only two atom types, Si and O, each of which has a stable substitutable isotope, and all of the vibrational modes at  $k = 0$  are optically active (species A<sub>1</sub>, A<sub>2</sub>, and E). In this paper, we report infrared and Raman frequencies for the <sup>28</sup>Si<sup>16</sup>O<sub>2</sub>, <sup>28</sup>Si<sup>18</sup>O<sub>2</sub>, and <sup>30</sup>Si<sup>16</sup>O<sub>2</sub> isotopic species of quartz.

### Experimental Section

The <sup>28</sup>Si<sup>16</sup>O<sub>2</sub> sample was prepared by gelling Si(OC<sub>2</sub>H<sub>5</sub>)<sub>4</sub> (TEOS) with doubly distilled, deionized H<sub>2</sub>O. The gel was fired at 1000 °C for 12 h, and then loaded into a platinum capsule with a trace of ultrapure H<sub>2</sub>O. The mix was crystallized hydrothermally at 500 °C and 1 kbar for 1 week in a cold-seal pressure vessel to obtain  $\alpha$ -quartz. This was verified by optical microscopy and

by Raman spectroscopy. The well-formed, terminated crystals were 20-50  $\mu$ m in dimension. The <sup>28</sup>Si and <sup>16</sup>O were present in their natural abundances (92.18 atom % and 99.757 atom %, respectively). Powdered <sup>30</sup>SiO<sub>2</sub> (95.2 atom % <sup>30</sup>Si) was purchased from MSD Isotopes. The amorphous material was crystallized hydrothermally at 500 °C and 1 kbar for 1 week to give  $\alpha$ -quartz.

The Si<sup>18</sup>O<sub>2</sub> starting material was prepared by hydrolyzing SiCl<sub>4</sub> with H<sub>2</sub><sup>18</sup>O (99 atom % <sup>18</sup>O), obtained from MSD Isotopes. This was carried out in a drybox under an atmosphere of dry N<sub>2</sub> to avoid contamination with atmospheric oxygen. The Si<sup>18</sup>O<sub>2</sub> powder was then loaded into a platinum capsule with H<sub>2</sub><sup>18</sup>O. This sample did not crystallize quartz under the same hydrothermal conditions as the samples above, but consistently gave cristobalite.<sup>2</sup>  $\alpha$ -Quartz was obtained by hydrothermal treatment at 400 °C and 4 kbar for 48 h in an internally heated argon gas pressure vessel.

Raman spectra were obtained with an Instruments S.A. U-1000 Raman system, using the 5145-Å line of a Coherent Innova 90-4 argon laser for sample excitation. The microassembly (using 50 $\times$  and 100 $\times$  Olympus objectives) was used due to the small sizes of the samples. Laser power at the sample ranged from 5 to 50 mW, and the instrumental slit width was 200  $\mu$ m, corresponding to a spectral band-pass of near 1.8 cm<sup>-1</sup>. The absolute wavelength scale of the spectrometer was calibrated against the emission lines of atomic Hg and Ne. Individual spectra in the present study

(1) Sosman, R. B. *The Phases of Silica*; Rutgers University Press: New Brunswick, NJ, 1965.

(2) Carr, R. M.; Fyfe, W. S. *Am. Mineral.* 1958, 43, 908.