

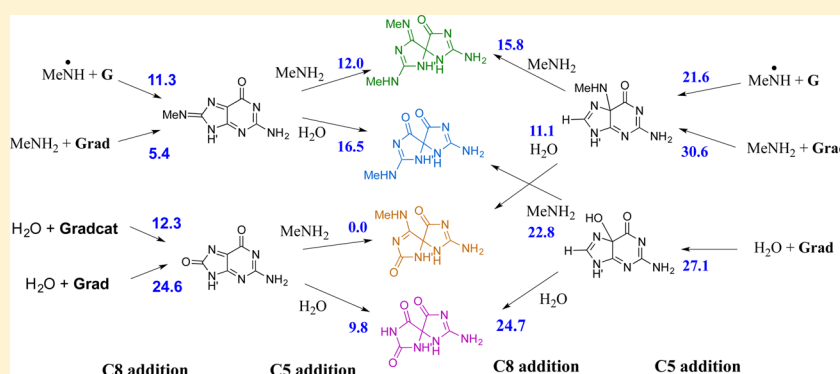
# Computational Study of the Radical Mediated Mechanism of the Formation of C8, C5, and C4 Guanine:Lysine Adducts in the Presence of the Benzophenone Photosensitizer

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## S Supporting Information



**ABSTRACT:** The oxidation of guanine by triplet benzophenone in the presence of lysine has been shown to produce mono- and dilysine-substituted spiroiminodihydantoin products, 8-Lys-Sp and 5,8-diLys-Sp. The potential energy surfaces for C8, C5, and C4 nucleophilic addition have been mapped out using the B3LYP/aug-cc-pVTZ//B3LYP/6-31+G(d,p) level of density functional theory with the SMD solvation model and employing methylamine as a model for the side chain of lysine. Enthalpies, barrier heights,  $pK_a$ 's, and reduction potentials were calculated for intermediates to find the lowest energy paths. For neutral methylamine plus guanine radical and neutral methylamine radical plus guanine, the barrier for addition at C8 is ca. 10 kcal/mol lower than that for addition at C5 and C4. The barriers for water addition at C8, C5, and C4 of guanine radical are 13–20 kcal/mol higher than that for methylamine addition at C8. Further oxidation and loss of a proton leads to 8-methylaminoguanine, the methylamino analogue of 8-oxo-7,8-dihydroguanine (8-oxoG). The barrier for the addition of a second methylamine at C5 of 8-methylaminoguanine is 4.5 kcal/mol lower than that for the corresponding addition of water. Nevertheless, if the concentration of methylamine (or lysine) is very low, water addition could be competitive with methylamine addition. This would lead to comparable fractions of 8-monosubstituted-Sp and 5–8-disubstituted-Sp, in agreement with the experimental observations.

## INTRODUCTION

DNA–protein cross-links, DPCs, are common structural modifications that may affect the functions of DNA. These structural and/or functional modifications are generally accepted to be a major problem and can cause deleterious biological effects such as cellular aging, mutagenesis, and carcinogenesis.<sup>1–5</sup> DPCs are a common outcome of oxidatively generated damage of DNA and are formed under a wide range of conditions. The potential diversity of intermediate structures and reaction mechanisms could be the reason that DPC formation is the least understood DNA lesion despite being an abundant and significant type of DNA damage. Hence, it is highly desirable to develop a molecular level understanding of the chemical structures and mechanisms involved in DPC formation.

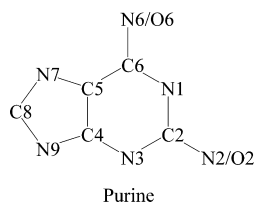
Because guanine has the lowest reduction potential among the DNA nucleobases, it is usually considered the first target of oxidatively generated damage to DNA.<sup>4–8</sup> Oxidation of guanine

has been established to occur even in the presence of mild oxidative environments and results in products such as 8-oxo-7,8-dihydroguanine (8-oxoG), spiroiminodihydantoin (Sp), guanidinohydantoin (Gh), and imidazolone (Iz). Numerous experimental studies over the last four decades have explored the formation of protein cross-links with isolated nucleobases as well as single and double stranded DNA.<sup>7,9–29</sup> A wide range of amino acids including lysine, histamine, and arginine have been found to form cross-links with purine and pyrimidine bases in the presence of different oxidizing agents. Formation of DPCs has been observed in cellular DNA exposed to various chemical oxidizing agents such as hydroxyl radicals, singlet oxygen, sulfate radicals, carbonate radicals, and organic carcinogens such as aldehydes, carcinogenic metal ions such as Ni(II), Cr(VI), Fe(II),

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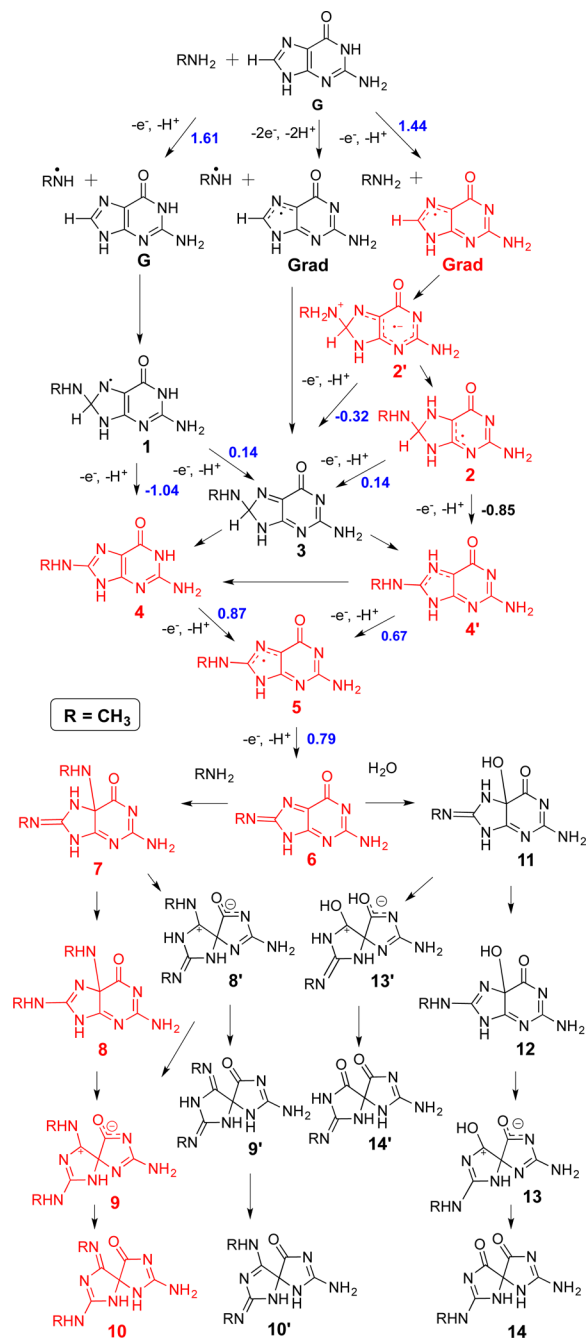
Scheme 1. Atomic Numbering for Purine Nucleobases



Fe(III) bleomycin, Ir(IV), ionizing radiation, UV light, and visible light with photosensitizers. Oxidation of nucleobases or protein residues produces electrophilic species which can then react with the nucleophilic groups to form cross-links. The nature of the DPCs formed depends on the type of oxidizing agent.<sup>24</sup> Morin and Cadet<sup>13</sup> developed a model system with lysine tethered to the sugar of 2'-deoxyguanosine that readily forms a lysine:guanine cross-link at C8 of guanine when oxidized by triplet benzophenone. In the presence of strong oxidizing agents such as HOCl, ONOO<sup>-</sup>, and other one electron oxidants, Burrows and co-workers found that a covalent cross-link can be formed between C5 of 8-oxoG and the lysine side chains in single strand binding protein.<sup>19</sup> Perrier et al. observed the formation of a lysine:guanine cross-link at C8 of guanine upon one electron oxidation via riboflavin-mediated photosensitized oxidation of TGT oligonucleotide and trilycine.<sup>21</sup> Burrows and co-workers<sup>24</sup> have studied the formation of C5 and C8 cross-links between 2'-deoxyguanosine and lysine in the presence of a range of oxidizing agents such as type I and type II photosensitizers, sulfate radical and Ir(Cl)<sub>6</sub><sup>2-</sup>, and have shown that the distribution of the final cross-linked products depends on the nature of the oxidizing agents. They have also examined the product profiles for the reaction of ammonia with guanine in the presence of a number of different oxidants.<sup>30</sup> Using riboflavin sensitized oxidation, Silerme et al.<sup>28</sup> demonstrated that the formation of C8 polyamine:guanine cross-links in double stranded DNA was more efficient than the addition of water to form 8-oxoG.

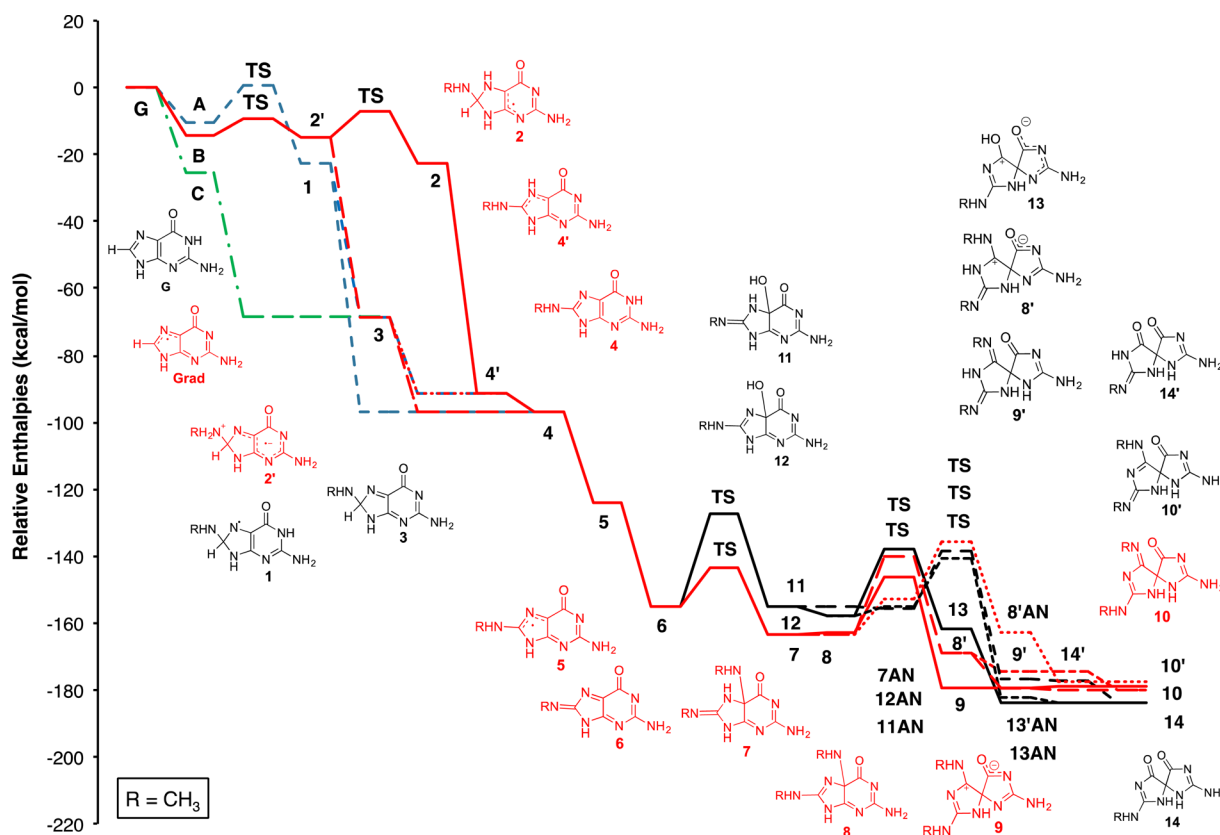
Computational and experimental studies on C-8 adducts of guanine have been reviewed recently.<sup>31</sup> Compared to the extensive experimental investigations, there are only a limited number of computational studies related to guanine:lysine cross-links.<sup>32,33</sup> Jena and Mishra compared the gas phase stabilities of noncovalently bound guanine:lysine complexes in different charge states using density functional and Møller–Plesset perturbation theories.<sup>32</sup> Their study showed that the spin and charge in a guanine:lysine radical cation complex were concentrated on the guanine subunit, which is consistent with the experimental observation that the ionization potential of guanine is lower than that of lysine.<sup>34,35</sup> The addition of ammonia to oxidized guanine forming 8-aminoguanine has been studied both computationally<sup>36</sup> and experimentally.<sup>30</sup> To the best of our knowledge, a full computational study of potential mechanisms for covalent guanine:lysine cross-link formation has not been reported yet.

Benzophenone is a type I photosensitizer<sup>37</sup> commonly used in photochemical studies and was one of the oxidants used by Burrows and co-workers in their study of guanine:lysine cross-link formation.<sup>24</sup> On excitation to the triplet state, benzophenone can oxidize nucleobases by abstracting a hydrogen atom or by proton coupled electron transfer (PCET).<sup>38–40</sup> The present study explores potential mechanisms for the formation of guanine:lysine cross-links in the presence of triplet benzophenone (<sup>3</sup>BP) in aqueous solution near the physiological pH.

Scheme 2. Pathways for the Addition of Methylamine at C8 of Guanine Followed by Nucleophilic Addition of Methylamine or Water at C5<sup>a</sup>

<sup>a</sup>*E*<sup>o</sup> is shown in blue, and the favored path is shown in red.

Under the conditions employed by Burrows and co-workers<sup>24</sup> (benzophenone, continuous irradiation at 365 nm for 20 h), triplet benzophenone could act as the oxidant for all four oxidation steps. Similarly, in the investigation by Perrier et al.<sup>21</sup> (riboflavin, a type I photosensitizer, irradiation by a halogen lamp for 30 min), all four oxidation steps could be mediated by riboflavin. Shafirovich and co-workers and Ravanat, Cadet, and co-workers have undertaken detailed studies of guanine oxidation and the formation of thymine and uracil cross-links with guanine.<sup>41–51</sup> In these studies, small quantities of oxidant were generated by photolysis with short laser pulses, resulting



**Figure 1.** Comparison of the relative enthalpies (kcal/mol) of guanine adducts resulting from <sup>3</sup>BP oxidation and methylamine addition at the C8 position of guanine followed by nucleophilic addition of methylamine or water at the C5 position calculated at the SMD/B3LYP/aug-cc-pVTZ//SMD/B3LYP/6-31+G(d,p) level of theory. On the left side of the figure, the dashed blue line (A) corresponds to the addition of methylamine radical to guanine, the solid red line (B) corresponds to the addition of methylamine to guanine radical, while the green dash-dot line (C) corresponds to methylamine radical addition to guanine radical. On the right side of the figure, the red line corresponds to the addition of a second methylamine, and the black line corresponds to the addition of water (pathways followed by the anion are shown in dashed or dotted lines). The solid red line represents the most favored pathway.

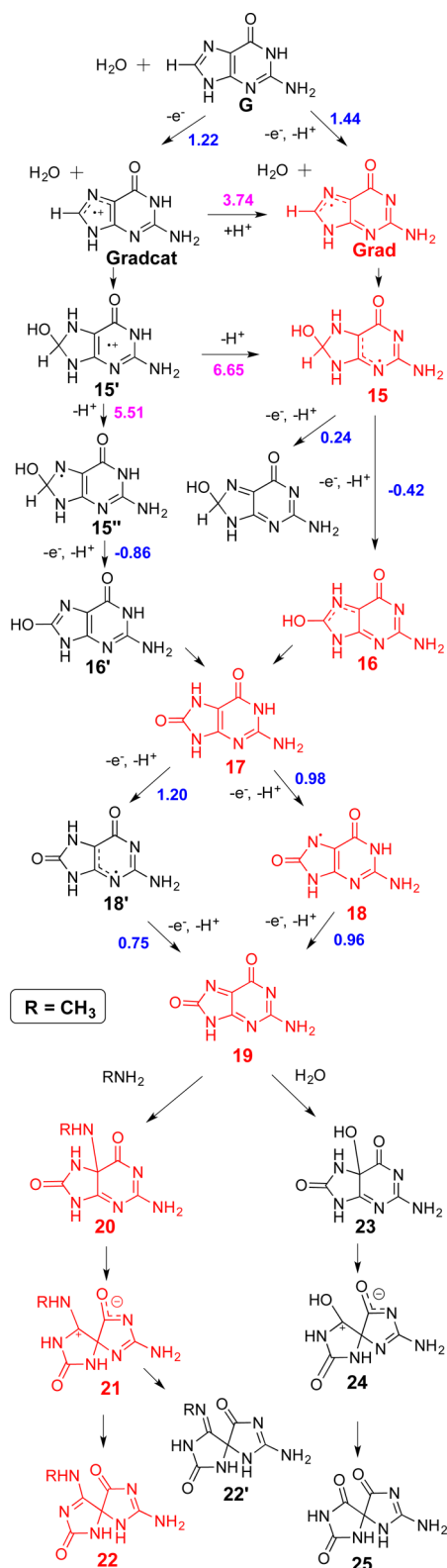
in localized one electron oxidation of guanine. This may be more relevant to *in vivo* conditions where oxidation by strong chemical oxidants would be isolated events. At low pH, water adds rapidly to guanine radical cation to form the 8-hydroxy-7,8-dihydroguanyl radical (8OHGrad); at higher pH, guanine radical cation can deprotonate and water adds more slowly, allowing other reactions such as guanine:thymine cross-links to dominate.<sup>49,50</sup> The second oxidation of 8OHGrad to form 8-oxoG can occur readily with O<sub>2</sub>.<sup>52</sup> The generation of additional oxidants leads to the preferential oxidation of 8-oxoG<sup>43</sup> and subsequent formation of products such as Sp, Gh, and Iz. Rate constants are available for many of the initial oxidation steps.<sup>41,42,50,53–55</sup> Labeling studies show that the additional oxygen in 8-oxoG comes from water in riboflavin photosensitized oxidation of guanine.<sup>52</sup> Likewise, when IrCl<sub>6</sub><sup>2-</sup> is used to oxidize 8-oxoG, the additional oxygen in Sp and Gh comes from water.<sup>56</sup> However, when the carbonate radical anion is the oxidant, the additional oxygens come from the carbonate radical.<sup>46</sup> In previous work, we have used density functional theory to explore pathways for oxidation of guanine to form Sp and Gh, and to calculate the pK<sub>a</sub>'s and reduction potentials of intermediates in the oxidation of guanine.<sup>57–63</sup> In the present computational study, we have examined various pathways for the formation of C8, C5, and C4 guanine:lysine cross-links resulting from nucleophilic addition of lysine and water to oxidized forms of guanine. Since one of the goals of the present study is to understand the distribution of products

seen by Burrows and co-workers, <sup>3</sup>BP is used as the oxidant, but oxidation by O<sub>2</sub> and O<sub>2</sub><sup>-</sup> has also been examined for the appropriate steps. Lowest energy pathways have been considered based on the calculation of pK<sub>a</sub>'s, reduction potentials, changes in free energy, and reaction barriers. The formation of various DPCs agrees relatively well with reported experimental findings.

## ■ COMPUTATIONAL PROCEDURES

Calculations were performed with the Gaussian series of codes.<sup>64</sup> Geometries were optimized with B3LYP<sup>65,66</sup> density functional theory using the 6-31+G(d,p)<sup>67–69</sup> basis set with the SMD<sup>70</sup> implicit solvation method to model aqueous solution. Vibrational frequency calculations were used to check that optimized geometries were minima or transition states on the potential energy surface. Transition states had only one imaginary frequency and had a transition vector leading from reactants to products. While B3LYP calculations sometimes underestimate barriers by a few kcal/mol, test calculations on the addition of H<sub>2</sub>O and CH<sub>3</sub>NH<sub>2</sub> to guanine radical show that the B3LYP barriers are within about 2 kcal/mol of those calculated with ωB97XD. In key reactions, intrinsic reaction coordinate (IRC) calculations<sup>71,72</sup> were used to validate the connection of the transition state with the appropriate reactants and products. Thermal corrections for enthalpies were calculated by standard statistical thermodynamic methods using the unscaled B3LYP frequencies and the ideal gas/rigid rotor/harmonic oscillator approximations. To obtain higher accuracy, single point energies were calculated at the B3LYP/aug-cc-pVTZ<sup>73</sup> level of theory using the B3LYP/6-31+G(d,p)

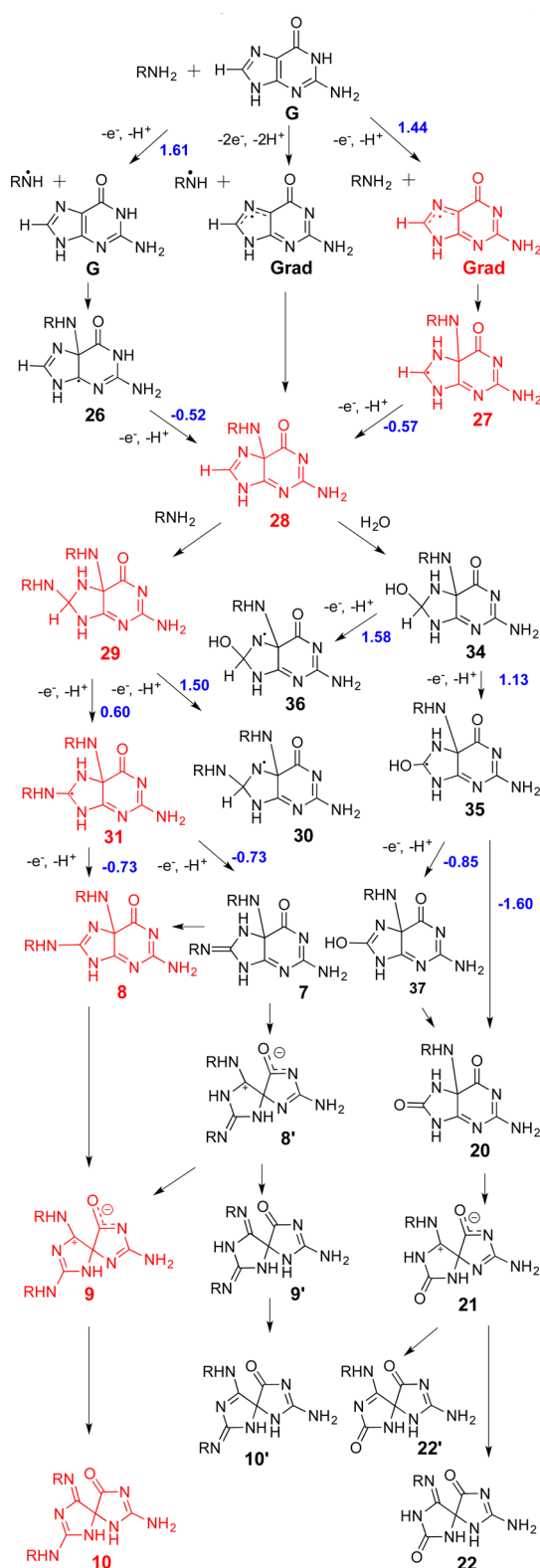
Scheme 3. Pathways for the Addition of Water at C8 of Guanine Followed by Nucleophilic Addition of Methylamine or Water at C5<sup>a</sup>



<sup>a</sup>E<sup>0</sup> is shown in blue, and the favored path is shown in red.

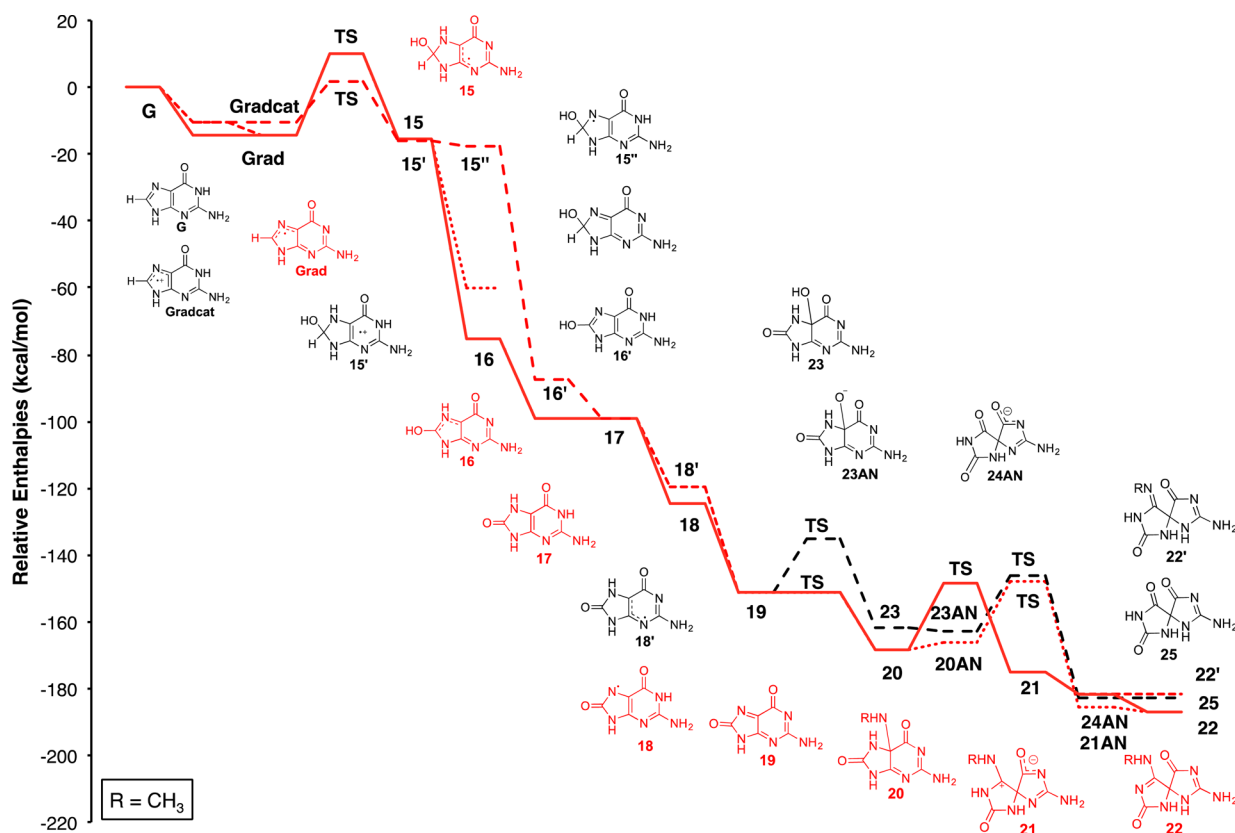
geometries optimized in solution. For each species, the enthalpy in solution is the sum of the electronic energy calculated at SMD/B3LYP/aug-cc-pVTZ//SMD/B3LYP/6-31+G(d,p), zero point energy (ZPE)

Scheme 4. Pathways for the Addition of Methylamine at C5 of Guanine Followed by Nucleophilic Addition of Methylamine or Water at C8<sup>a</sup>



<sup>a</sup>E<sup>0</sup> is shown in blue, and the favored path is shown in red.

at SMD/B3LYP/6-31+G(d,p), and thermal corrections at SMD/B3LYP/6-31+G(d,p). The numbering of the atoms in guanine is shown in Scheme 1.



**Figure 2.** Comparison of the relative enthalpies (kcal/mol) of guanine adducts resulting from  $^3\text{BP}$  oxidation and water addition at the C8 position of guanine radical followed by nucleophilic addition of methylamine or water at the C5 position calculated at the SMD/B3LYP/aug-cc-pVTZ//SMD/B3LYP/6-31+G(d,p) level of theory. On the right side of the figure, the red line corresponds to the addition of the second methylamine, and the black line corresponds to water addition (pathways followed by the anion are shown by dashed or dotted lines). The solid red line represents the most favored pathway.

The  $\text{p}K_{\text{a}}$  and reduction potential calculations were performed as needed along each reaction pathway. For a reaction involving deprotonation,



the  $\text{p}K_{\text{a}}$  is given by

$$\text{p}K_{\text{a}} = \frac{\Delta G_{\text{deprot}(\text{aq})}}{2.303RT} \quad (2)$$

where  $\Delta G_{\text{deprot}(\text{aq})}$  is the change in Gibbs free energy in solution for the deprotonation reaction,  $R$  and  $T$  are the gas constant ( $1.987 \text{ cal K}^{-1} \text{ mol}^{-1}$ ) and temperature, respectively. The change in Gibbs free energy in solution for a deprotonation reaction is given by

$$\Delta G_{\text{deprot}(\text{aq})} = G_{\text{B}_{(\text{aq})}^-} + G_{\text{H}_{(\text{aq})}^+} - G_{\text{BH}_{(\text{aq})}} \quad (3)$$

The Gibbs free energy for protonated ( $G_{\text{BH}_{(\text{aq})}}$ ) and deprotonated species ( $G_{\text{B}_{(\text{aq})}^-}$ ) in solution can be obtained from optimization and single point energy calculations in solution.  $G_{\text{H}_{(\text{aq})}^+}$ , the solution phase Gibbs free energy of the proton can be expressed as

$$G_{\text{H}_{(\text{aq})}^+} = G_{\text{H}_{(\text{g})}^+}^{\circ} + \Delta G^{\text{latm} \rightarrow 1\text{M}} + \Delta G_{\text{H}_{(\text{aq})}^+}^* \quad (4)$$

Here,  $G_{\text{H}_{(\text{g})}^+}^{\circ}$ , the gas phase standard free energy of the proton, is equal to  $-6.287 \text{ kcal/mol}$  at 298 K. This value is derived from  $G_{\text{H}_{(\text{g})}^+}^{\circ} = \text{H}_{(\text{g})}^+ - \text{TS}_{(\text{g})}^{\circ}$  with  $\text{H}_{(\text{g})}^+ = 5/2RT = 1.48 \text{ kcal/mol}$  and  $\text{S}_{(\text{g})}^{\circ} = 26.05 \text{ cal/mol}$ .<sup>74,75</sup>  $\Delta G^{\text{latm} \rightarrow 1\text{M}}$  is the correction for the change in free energy from the standard state of 1 atm to 1 M and is equal to  $1.89 \text{ kcal/mol}$ .  $\Delta G_{\text{H}_{(\text{aq})}^+}^*$ , the solvation energy of proton, is equal to  $-265.9 \text{ kcal/mol}$  and is taken from the literature.<sup>76–79</sup> Superscripts

( $^{\circ}$ ) and ( $^*$ ) represent the standard state in gas and solution phase, respectively.

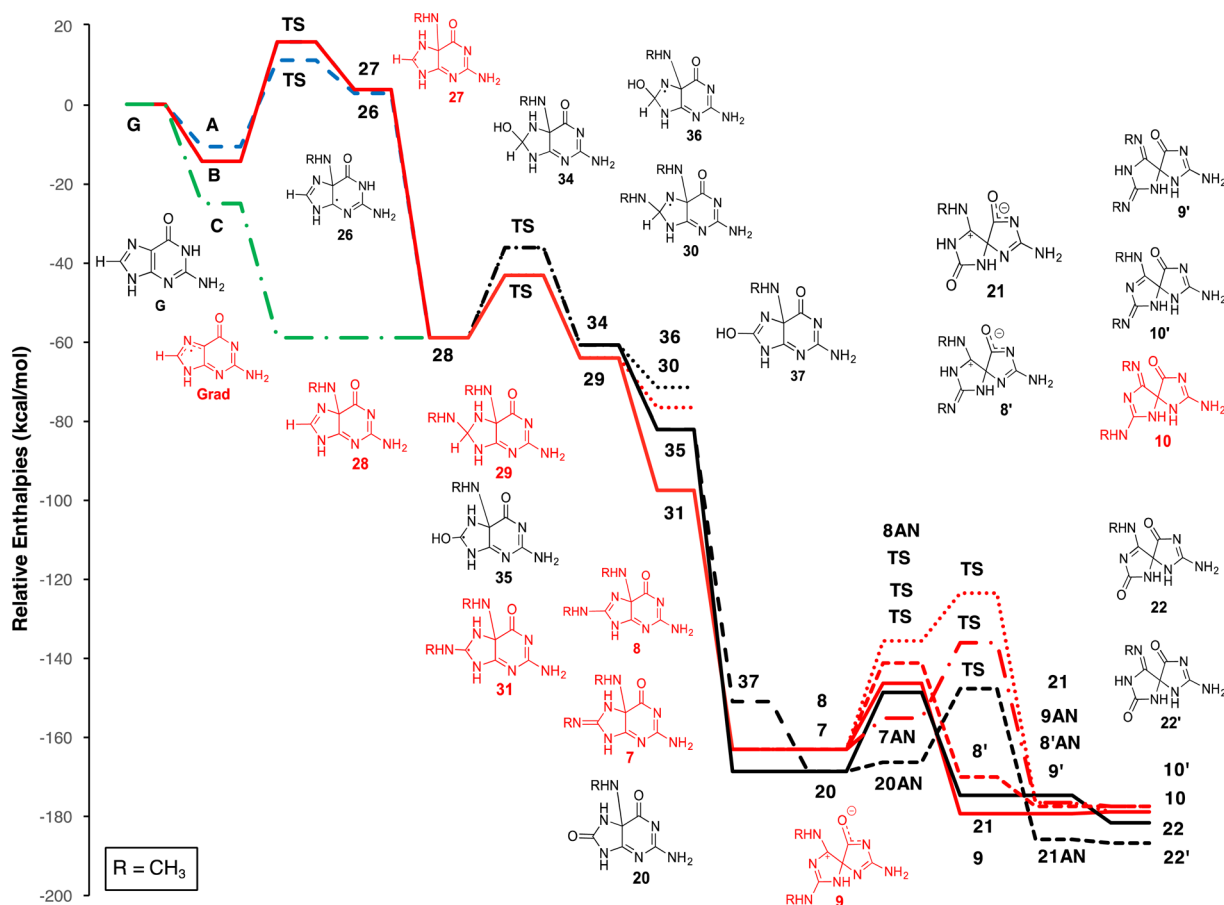
The standard reduction potential for a reaction is calculated by

$$E_{\text{red}(\text{aq})}^{\circ} = -\frac{\Delta G_{\text{red}(\text{aq})}^*}{nF} - \text{SHE}$$

where  $\Delta G_{\text{red}(\text{aq})}^*$  is the free energy change for the reduction reaction in solution,  $F$  is the Faraday constant ( $23.06 \text{ kcal/mol}$ ),  $n$  is the number of electrons involved in the reaction, and SHE is the absolute potential of the standard hydrogen electrode in an aqueous solution and is estimated to be  $4.281 \text{ V}$ .<sup>77,78,80</sup>

## RESULTS AND DISCUSSION

The formation of guanine:lysine cross-links during oxidation by triplet benzophenone ( $^3\text{BP}$ ) involves the addition of lysine to C8, C5, and/or C4 of guanine and leads to mono- and disubstituted spiroimidodihydantion (**Sp**) products.<sup>24</sup> Low energy pathways for the formation of these cross-links have been explored using the B3LYP/aug-cc-pVTZ//B3LYP/6-31+G(d,p) level of theory in aqueous solution with an SMD solvation model and are shown in Schemes 2–7. In order to reduce the cost and complexity of the calculations, the side chain of lysine (calc.  $E_7 = 1.39 \text{ V}$ , calc.  $E_{10} = 1.03 \text{ V}$ , exp.  $E_{10} \sim 1.00 \text{ V}$ <sup>81</sup>) was modeled by methylamine (calc.  $E_7 = 1.37 \text{ V}$ , calc.  $E_{10} = 1.04 \text{ V}$ ). Thus, in all of the schemes and figures,  $\text{R} = \text{CH}_3$ . For the reactions involving deprotonation and protonation, imidazole and imidazolium were used as proton acceptor and donor, respectively. Since imidazole has a  $\text{p}K_{\text{a}}$  of 6.9, transferring a proton to/from imidazole/imidazolium is the computational



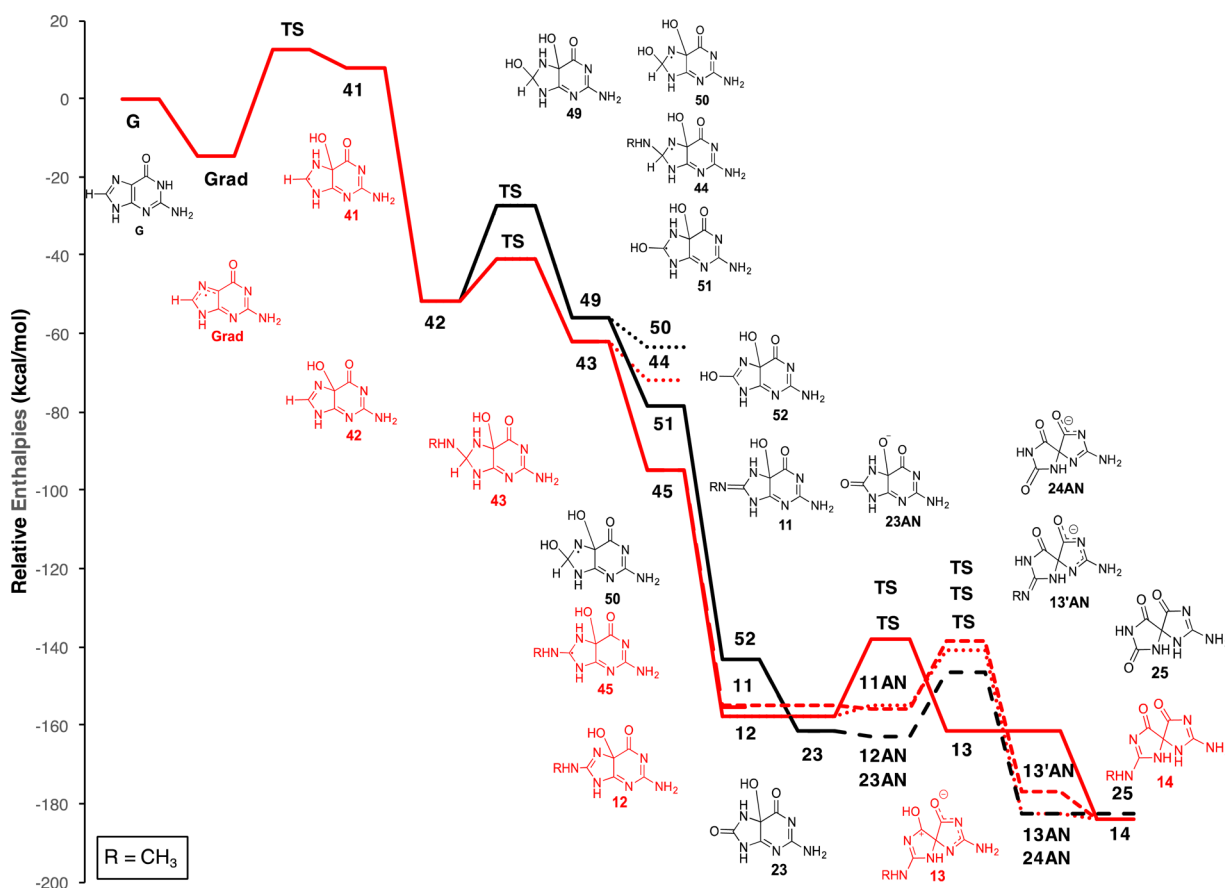
**Figure 3.** Comparison of the relative enthalpies (kcal/mol) of guanine adducts resulting from  $^3\text{BP}$  oxidation and addition of methylamine at the C5 position of guanine followed by nucleophilic addition of methylamine or water at the C8 position calculated at the SMD/B3LYP/6-31+G(d,p) level of theory. On the left side of the figure, the dashed blue line (A) corresponds to the addition of methylamine radical to guanine, the solid red line (B) corresponds to the addition of methylamine to guanine radical, while the green dash-dot line (C) corresponds to methylamine radical addition to guanine radical. On the right side of the figure, the red line corresponds to the addition of a second methylamine, and the black line corresponds to the addition of water (pathways followed by the anion are shown in dashed or dotted lines). The solid red line represents the most favored pathway.

equivalent of using a pH 7 buffer solution. Reactions involving the addition of N–H or O–H across a double bond are assisted by an explicit water molecule which reduces the barrier by forming a six-membered ring transition state.

The formation of guanine:methylamine cross-links starts with oxidation by  $^3\text{BP}$  (via proton-coupled electron transfer or direct H abstraction). One-electron oxidation of guanine forms a guanine radical cation, **Gradcat**. Since the  $\text{p}K_a$  of guanine radical is 3.9,<sup>53</sup> near physiological pH **Gradcat** loses a proton to form a neutral guanine radical, **Grad**. The loss of an electron and a proton is equivalent to the loss of a hydrogen atom. Oxidation of methylamine by  $^3\text{BP}$  forms methylamine radical cation (calculated  $\text{p}K_a = 2.3$ ) which deprotonates to give a neutral methylamine radical,  $\text{CH}_3\text{NH}^\bullet$ , and this process is calculated to be 4.0 kcal/mol less exothermic than guanine oxidation. As a result, there are three low energy pathways that can initiate the formation of guanine:methylamine adducts at pH 7: (a) the oxidation of methylamine and the addition of neutral methylamine radical to guanine, (b) the oxidation of guanine and the addition of methylamine to neutral radical guanine, and (c) the oxidation of both methylamine and guanine, and the coupling of neutral methylamine and guanine radicals. For the oxidative formation of an initial water adduct, only the addition of water to guanine radical needs to be considered

since water is not oxidized by  $^3\text{BP}$ . In the schemes and figure, the lower energy pathways are indicated in red, but as is evident from the energetics in the figures, some of these paths may be favored by only a few kcal/mol.

**C8 Addition of Methylamine.** The low energy pathways for the formation of C8 guanine:methylamine adducts are shown in **Scheme 2**, and the thermodynamics related to these pathways for oxidation with  $^3\text{BP}$  are presented in **Figure 1**. The initial oxidation by  $^3\text{BP}$  can produce either guanine radical or methylamine radical or both. The addition of methylamine radical to C8 of neutral guanine (G) results in intermediate 1 and has a barrier height of 11.3 kcal/mol. Neutral methylamine can add to C8 of guanine radical, **Grad**, with a barrier of only 5.4 kcal/mol forming 2'; tautomerization of 2' to 2 is exothermic by 0.5 kcal/mol and has a barrier of 7.3 kcal/mol. Protonated methylamine could add across the C8–N7 bond of **Grad** to yield the protonated form of 2', but this process is endothermic by 4 kcal/mol. Thus, methylamine addition to **Grad** should be facilitated by higher pH where the equilibrium shifts toward neutral methylamine. At lower pH, the equilibrium moves away from neutral methylamine, and the addition of methylamine radical to guanine could become the dominant pathway, despite the somewhat higher barrier. At even lower pH, guanine radical ( $\text{p}K_a = 3.9$ ) is a



**Figure 4.** Comparison of the relative enthalpies (kcal/mol) of guanine adducts resulting from  $^3\text{BP}$  oxidation and water addition at the C5 position of guanine radical followed by nucleophilic addition of methylamine or water at the C8 position calculated at the SMD/B3LYP/aug-cc-pVTZ//SMD/B3LYP/6-31+G(d,p) level of theory. On the right side of the figure, the red line corresponds to the addition of a second methylamine, and the black line corresponds to the water addition (pathways followed by the anion are shown by dashed or dotted lines). The solid red line represents the most favored pathway.

cation, **Gradcat**; while addition of neutral methylamine is barrierless, the addition of protonated methylamine would be endothermic. In the third pathway, the coupling reaction between guanine radical and methylamine radical is barrierless and exothermic by 43.2 kcal/mol yielding **3** but is expected to occur at a lower rate because the concentration of radicals produced by  $^3\text{BP}$  oxidation is expected to be low. Thus, in the first step, addition of methylamine to guanine radical is energetically preferred, having a barrier 4–6 kcal/mol lower than that for the addition of methylamine radical to guanine.

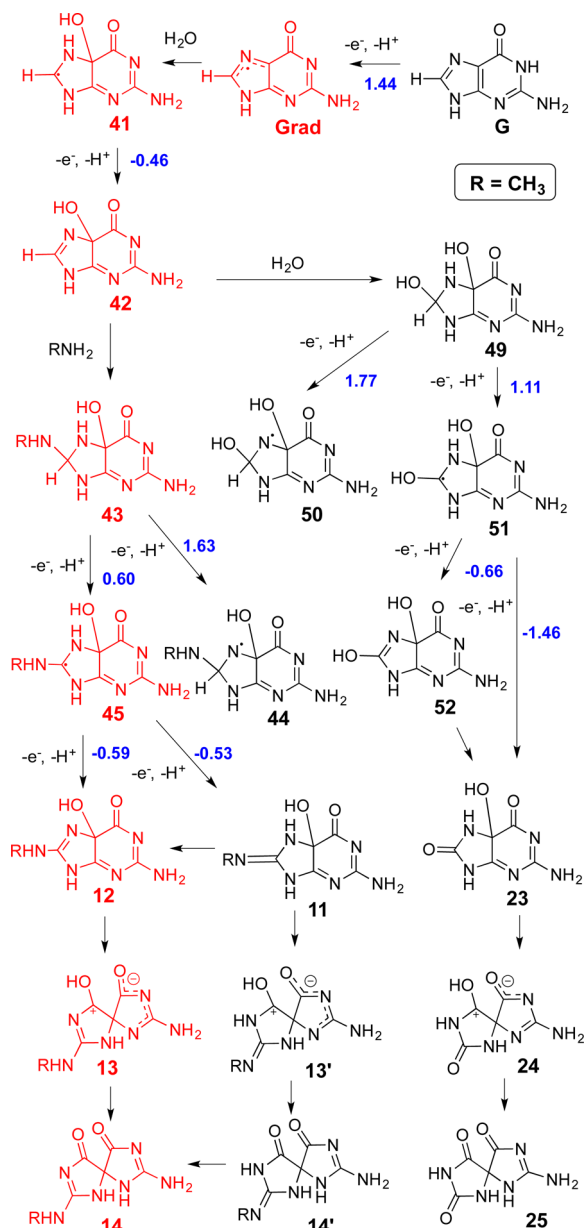
A second oxidation step results in the loss of a hydrogen from C8 in **1** to form **4** ( $E^\circ = -1.04$  V) or from C8 in **2** to form **4'** ( $E^\circ = -0.85$  V), rather than the loss of a hydrogen from N1 in **1** or N7 in **2** to form **3**. This oxidation can occur either by  $^3\text{BP}$  if the concentration is high enough (exothermic by 74.0 and 69.2 kcal/mol, respectively) or by  $^3\text{O}_2$  in aerobic environments (exothermic by 25.1 and 20.0 kcal/mol, respectively). Intermediate **4'** can be readily converted to the more stable tautomer **4** by shifting a proton from N7 to N1. Structure **4**, 8-methylaminoguanine, is the methylamino analogue of 8-oxoG and corresponds to product C observed by Perrier et al.<sup>21</sup> in riboflavin mediated oxidation of TGT oligonucleotide in the presence of trislysine. Morin and Cadet<sup>12</sup> also observed products from C8 addition of lysine in  $^3\text{BP}$  oxidation of lysine tethered to 5' of 2'-deoxyguanosine. Similarly, Silerme et al.<sup>28</sup> found polyamine:guanine cross-links at C8

of guanine in riboflavin sensitized oxidation of double stranded DNA in the presence of polyamines. Structure **3** resulting from the coupling of methylamine radical and guanine radical is 28.5 kcal/mol less stable than **4** but can be converted to **4** by the tautomerization of a proton from C8 to N1.

The third oxidation step, from **4** to **5**, is exothermic by 27.2 kcal/mol with  $^3\text{BP}$  but endothermic with  $\text{O}_2$  or superoxide,  $\text{O}_2^-$ . Since addition of methylamine or water to C5 of **5** is endothermic (25.9 or 29.2 kcal/mol, respectively), another oxidation is needed before the addition can occur. The oxidation of **5** is exothermic with  $^3\text{BP}$  and  $\text{HOO}\cdot$  (31.1 and 10.8 kcal/mol, respectively) but endothermic with  $\text{O}_2$  and  $\text{O}_2^-$  (17.7 and 3.0 kcal/mol, respectively) and yields **6** ( $\text{p}K_a = -1.22$  for protonation of **6**).

In the final addition step, methylamine or water can add across the C5–N7 double bond of **6**. Addition of neutral methylamine, assisted by an explicit water molecule, is calculated to have a barrier height of 12.0 kcal/mol and forms the 5,8-methylamine disubstituted guanine intermediate, **7**. Perrier et al.<sup>21</sup> observed a corresponding 5,8-lysine adduct in the oxidation of TGT and trislysine. This product was favored by higher pH where the  $\text{NH}_2$  groups are not protonated. Addition of water across the C5–N7 double bond of **6** (also assisted by one water) is calculated to have a higher energy barrier, 27.6 kcal/mol. This barrier drops to 16.5 kcal/mol when assisted by two water molecules. In the methylamine and water adducts, **7** and **11**, can tautomerize to **8** and **12**, respectively.

Scheme 5. Pathways for the Addition of Water at C5 of the Guanine Followed by Nucleophilic Addition of Methylamine or Water at C8<sup>42</sup>

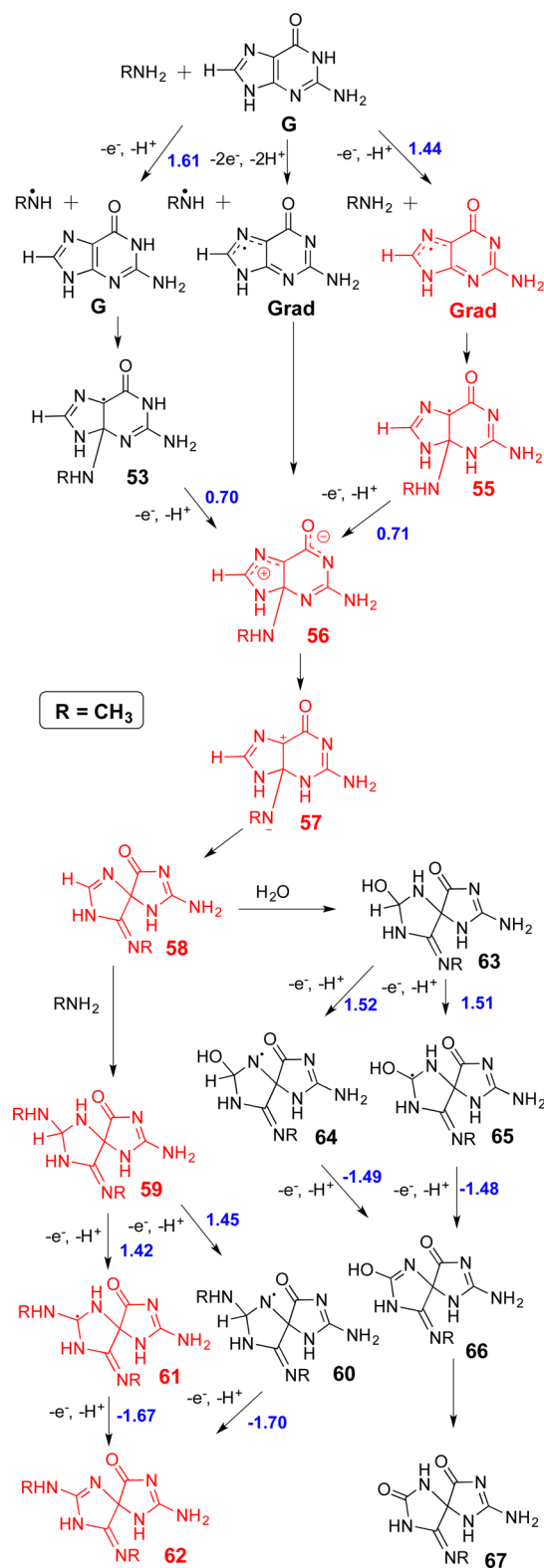


<sup>a</sup>E<sup>0</sup> is shown in blue, and the favored path is shown in red.

Migration of the acyl group from C5 to C4 followed by tautomerization leads to the final products, 5,8-diNHCH<sub>3</sub>-spiroiminodihydantoin, 5,8-diNHCH<sub>3</sub>-Sp (10 or 10') and 8-NHCH<sub>3</sub>-spiroiminodihydantoin, 8-NHCH<sub>3</sub>-Sp (14 or 14'), respectively.<sup>82</sup> As shown in Figure 1, the barrier for acyl migration in 7 is lower for the neutral form than for the deprotonated species. In solution near physiological pH, the acyl group migration of 11 occurs only in deprotonated species (pK<sub>a</sub> = 6.6) as found in our earlier study.<sup>58</sup>

**C8 Addition of Water.** Scheme 3 shows the pathway for the addition of water at C8 of guanine radical, and the corresponding energetics are shown in Figure 2. <sup>3</sup>BP can oxidize guanine but not water. At low pH, guanine radical (pK<sub>a</sub> = 3.9) remains protonated, and water addition to C8 of Gradcat has a barrier of 12.3 kcal/mol. At pH 7, guanine

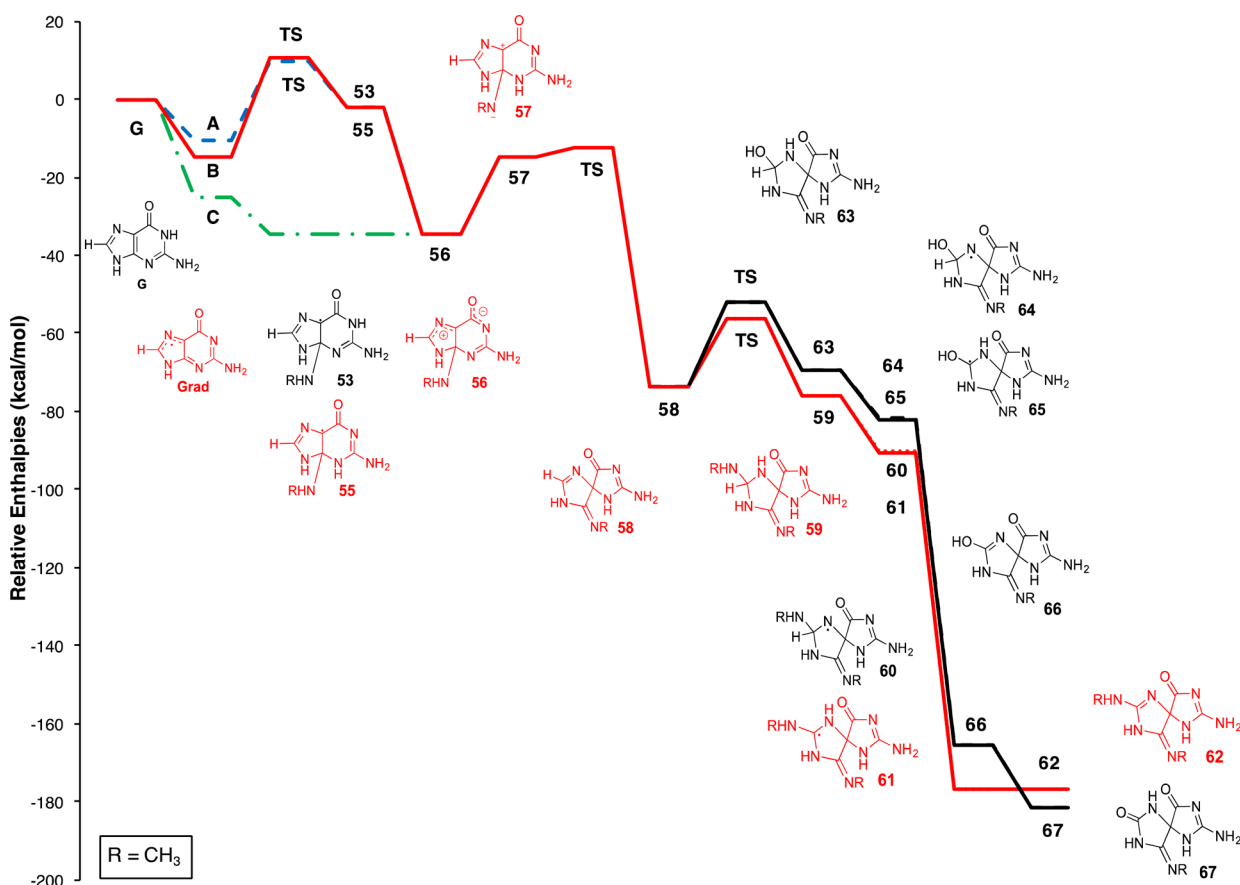
Scheme 6. Pathways for the Addition of Methylamine at C4 of Guanine Followed by Nucleophilic Addition of Methylamine or Water at C8<sup>42</sup>



<sup>a</sup>E<sup>0</sup> is shown in blue, and the favored path is shown in red.

radical is predominantly neutral, and addition of water to C8 of Grad assisted by an explicit water molecule has a barrier of 24.6 kcal/mol. Although the concentration of Gradcat at pH 7 is only about 10<sup>-3</sup> times the concentration of Grad, the reaction





**Figure 5.** Comparison of the relative enthalpies (kcal/mol) of guanine adducts resulting from  $^3\text{BP}$  oxidation and radical addition of methylamine at the C4 position of guanine followed by nucleophilic addition of methylamine or water at the C8 position calculated at the SMD/B3LYP/aug-cc-pVTZ//SMD/B3LYP/6-31+G(d,p) level of theory. On the left side of the figure, the dashed blue line (A) corresponds to the addition of methylamine radical to guanine, the solid red line (B) corresponds to the addition of methylamine to guanine radical, while the green dash-dot line (C) corresponds to methylamine radical addition to guanine radical. On the right side of the figure, the red line corresponds to the addition of a second methylamine, and the black line corresponds to the addition of water. The solid red line represents the most favored pathway.

can proceed more rapidly by water addition to **Gradcat** because of the much lower barrier. The intermediate, 8-hydroxy-7,8-dihydroguanine (**8OHGrad**), **15**, can lose hydrogen from C8 of **15** due to oxidation by  $^3\text{BP}$  or  $\text{O}_2$  ( $\Delta H = -59.8$  and  $-11.0$  kcal/mol, respectively) and produces **16** ( $E^\circ = -0.42$ ). Tautomerization of **16** leads to the more stable form of 8-oxoG, **17**. The fact that the barrier for water addition is much smaller for **Gradcat** than for **Grad** is in agreement with Crean et al.<sup>49</sup> and Rokhlenko et al.<sup>50</sup> who observed that water adds readily to **Gradcat** at low pH to form 8-oxoG and its oxidation products but were unable to detect these products at high pH.

The third oxidation step is exothermic with  $^3\text{BP}$  ( $\Delta H = -25.5$  kcal/mol) but endothermic with  $\text{O}_2$  and  $\text{O}_2^-$  ( $\Delta H = 23.4$  and  $8.6$  kcal/mol, respectively). Oxidative loss of hydrogen from N7 to produce **18** is favored over loss of hydrogen from N1 to form **18'**. Addition of methylamine or water to C5 of **18** is endothermic ( $\Delta H = 19.0$  and  $22.8$  kcal/mol, respectively), so another oxidation is required before addition can take place. The fourth oxidation step is exothermic with  $^3\text{BP}$  ( $\Delta H = -26.4$  kcal/mol) but endothermic with  $\text{O}_2$  or superoxide ( $\Delta H = 22.5$  and  $7.8$  kcal/mol, respectively), and produces 8-oxoG<sup>ox</sup>, **19**.

Addition of methylamine across the C5–N7 double bond of **19** to form **20** occurs without a barrier when assisted by an explicit water molecule. However, the corresponding addition of water to **19** to form **23** has a barrier of 16.2 kcal/mol assisted by one water and 9.8 kcal/mol assisted by two waters. Both **20** and **23**

can undergo C5 to C4 acyl group migration followed by tautomerization to give final products, 5-NHCH<sub>3</sub>-spiroiminodihydroantoin, 5-NHCH<sub>3</sub>-**Sp** (**22** or **22'**) and spiroiminodihydroantoin, **Sp** (**25**), respectively. For the C5 methylamine adduct, **20**, acyl migration is energetically more favorable in neutral form than in the deprotonated form. In agreement with our earlier study,<sup>58</sup> the doubly water substituted adduct, **23**, needs to be deprotonated ( $\text{pK}_a = 6.3$ ), before it undergoes acyl migration to form the spirocyclic product, **25**.

From the computational results discussed in the previous paragraphs, addition of neutral methylamine at C8 of guanine radical, **Grad**, is energetically the most favored pathway among the various pathways discussed for pH 7. The barrier for the addition of methylamine radical to guanine is 4 kcal/mol higher. The addition of water to **Grad** has a significantly higher barrier than addition to **Gradcat**. Higher pH facilitates the addition of methylamine to **Grad**, while  $\text{H}_2\text{O}$  addition to **Gradcat** is promoted by lower pH. Oxidation by  $^3\text{BP}$  or  $\text{O}_2$  leads to 8-methylaminoguanine, **4**, the methylamino analogue of 8-oxoG, **17**. Two more oxidations of **4** produce **6**, the methylamino analogue of 8-oxoG<sup>ox</sup>. For the second addition step, addition of methylamine across the C5–N7 double bond of **6** again has a lower barrier than water.

**C5 Addition of Methylamine.** As in the C8 additions, three pathways were considered for methylamine reacting with guanine to form C5 guanine:methylamine adducts, as shown in

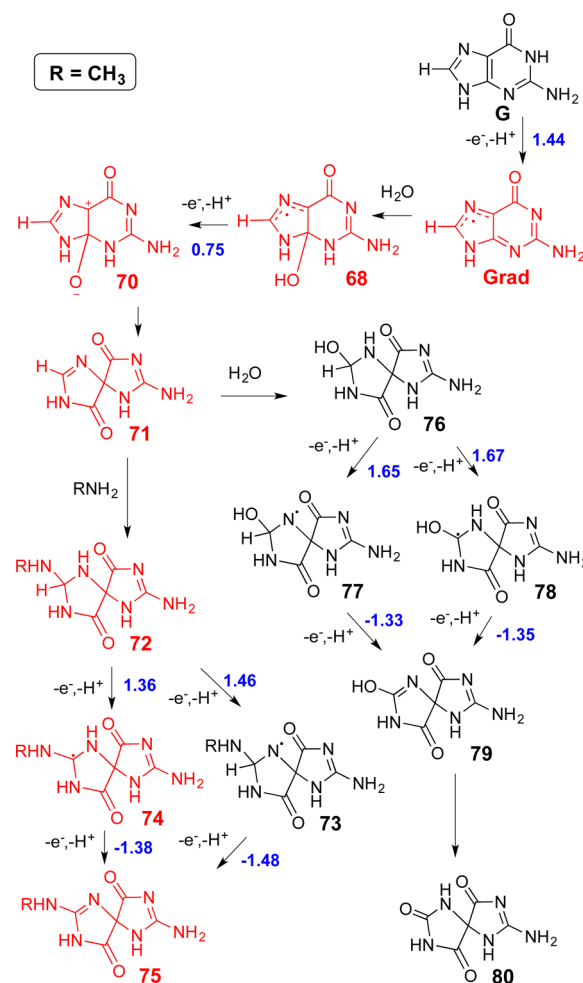
Scheme 4 and Figure 3. The addition of methylamine radical at C5 of neutral guanine (G) has a barrier of 21.6 kcal/mol and forms 26. Water assisted addition of methylamine across the C5–N7 double bond of guanine radical has a barrier of 30.6 kcal/mol and forms 27 (the corresponding barrier for NH<sub>3</sub> addition is 31.7 kcal/mol). Oxidation of 26 and 27 can occur by either <sup>3</sup>BP ( $\Delta H = -61.5$  and  $-62.6$  kcal/mol, respectively) or O<sub>2</sub> ( $\Delta H = -12.7$  and  $-13.8$  kcal/mol, respectively). Hydrogen can be lost from N1 of 26 ( $E^\circ = -0.52$  V) or N7 of 27 ( $E^\circ = -0.57$  V), yielding 28. Intermediate 28 can also be formed directly via the coupling of methylamine radical and guanine radical but would occur at a lower rate because the concentrations of these reactive species are expected to be low. A second addition can occur across the C8–N7 double bond of 28. The barrier for methylamine addition to 28 forming 29 (15.8 kcal/mol) is 7.0 kcal/mol lower than the barrier for water addition forming 34.

The third oxidation step is mediated by <sup>3</sup>BP and leads to the loss of the C8 hydrogen from 29 and 34 to produce 31 and 35, respectively. The subsequent oxidation can occur by <sup>3</sup>BP ( $\Delta H = -65$  to  $-80$  kcal/mol) or O<sub>2</sub> ( $\Delta H = -16$  to  $-37$  kcal/mol). Loss of a hydrogen from 31 yields the oxidized intermediates 7 or 8; loss of a hydrogen from 35 yields 20 or 37, which can tautomerize to 20. Intermediates 8, 7, and 20 can undergo acyl migration from C5 to C4 to produce the spiroimino intermediates, 9, 9', and 21, respectively. Acyl migration in the neutral form is favored over the anionic form (see Figure 3). Tautomerization of 9, 9', and 21 forms the final products 5,8-diNHCH<sub>3</sub>-Sp (10 and 10') and 5-NHCH<sub>3</sub>-Sp (22 or 22'), respectively.

**C5 Addition of Water.** Scheme 5 and Figure 4 show the pathways and energetics for C5 addition of water to guanine radical followed by C8 addition of methylamine or water. The barrier for water addition across the C5–N7 double bond to form 41 ( $\Delta H = 27.1$  kcal/mol) is 3.5 kcal/mol lower than that for the methylamine addition. Intermediate 41 is readily oxidized by either <sup>3</sup>BP or O<sub>2</sub> and loss of a proton leads to 5-OH guanine, 42 ( $\Delta H = -59.5$  and  $-10.7$  kcal/mol, respectively,  $E^\circ = -0.46$  V). In the second addition step, methylamine or water can add across the C8–N7 double bond of the 42. Methylamine addition to form 43 has a much lower barrier (11.1 kcal/mol) than water addition to form 49 (24.7 kcal/mol). <sup>3</sup>BP is required for the oxidation of the 8-NHCH<sub>3</sub>,5-OH guanine adduct, 43 ( $\Delta H = -31.7$  kcal/mol), and results in loss of a proton from C8 to form 45. In turn, 45 can be readily oxidized by <sup>3</sup>BP or O<sub>2</sub> ( $\Delta H = -62.8$  and  $-13.9$  kcal/mol, respectively) to form 11 and 12. Near the physiological pH of 7.5, both 11 and 12 can easily lose another proton from the C5–OH group ( $pK_a$  6.9 and 6.6, respectively) to form anions. These anionic intermediates of 11 and 12 undergo acyl group migration from C5 to C4 (barrier heights of 16.5 and 17.4 kcal/mol, respectively), followed by reprotonation to form final neutral products, 14' and 14, respectively. 14' can tautomerize to form the lower energy structure, 14. Nucleophilic addition of a second water at C8 of 42 follows a pathway similar to that of methylamine addition. The adduct 49 undergoes oxidation with <sup>3</sup>BP to form 51 and then oxidation by <sup>3</sup>BP or O<sub>2</sub> to form 23. Acyl group migration of the anionic form of 23 ( $pK_a$  6.3) yields the final product, spiroiminodihydantoin, Sp (25).

The lowest barriers for reactions at C5 are for methylamine radical addition to guanine (21.6 kcal/mol) and for water addition to guanine radical (27.1 kcal/mol); however, both barriers are higher than C8 addition of methylamine to guanine

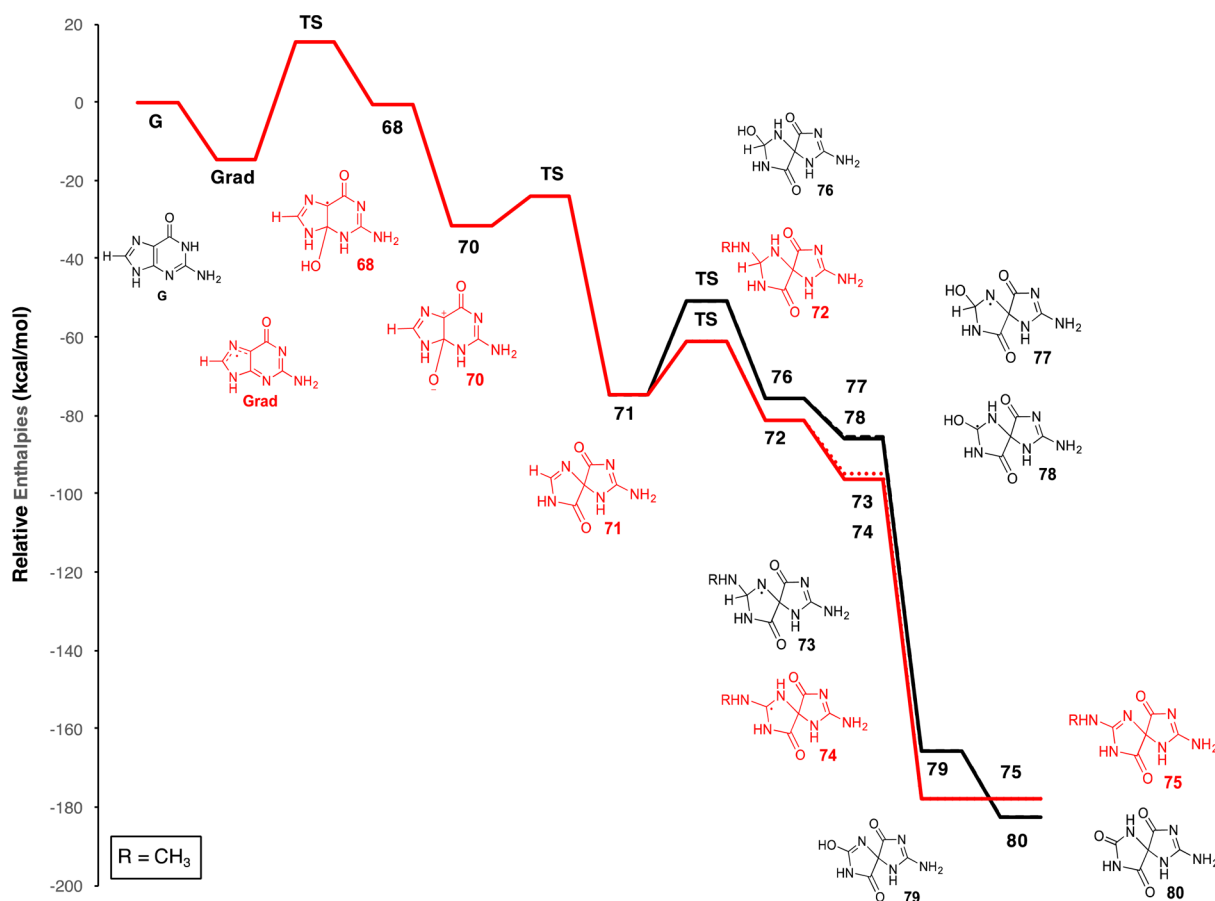
Scheme 7. Pathways for the Addition of Water at C4 of Guanine Followed by Nucleophilic Addition of Methylamine or Water at C8<sup>a</sup>



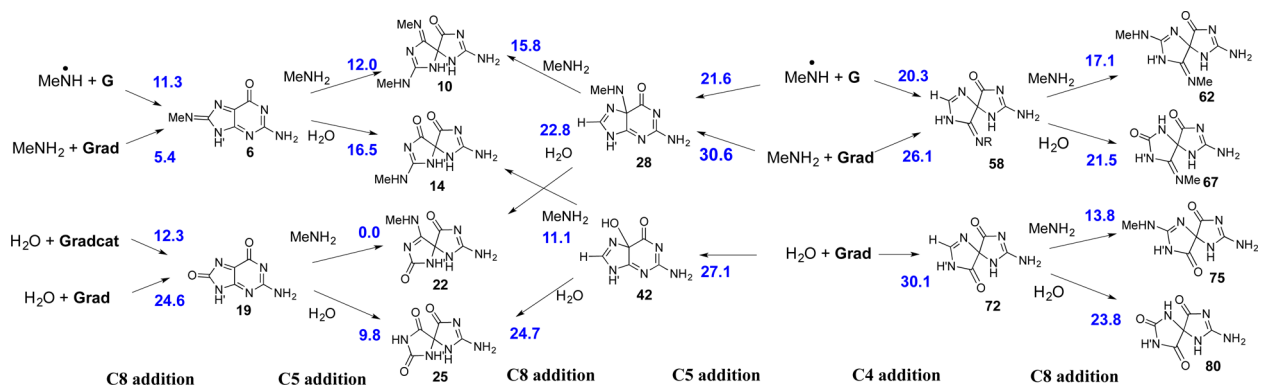
<sup>a</sup> $E^\circ$  is shown in blue, and the favored path is shown in red.

radical (5.4 kcal/mol). After the C5 addition, the barrier for the second nucleophilic addition at C8 is lower for methylamine than that for water, in part because the N–H bond in methylamine is weaker than the O–H bond in water.

**C4 Addition of Methylamine.** Three pathways for the C4 addition of methylamine to guanine are shown in Scheme 6 and Figure 5. Similar to C5, any addition at C4 of guanine removes the planarity of the molecule and interrupts the conjugation, resulting in higher energy barriers and intermediates than that for the corresponding steps in C8 addition. Addition of methylamine radical to C4 has a barrier of 20.3 kcal/mol to produce 4-NHCH<sub>3</sub>-guanine radical, 53 (endothermic by 8.7 kcal/mol). Hydrogen loss from N1 of 53 can occur by <sup>3</sup>BP oxidation but not by O<sub>2</sub> oxidation ( $\Delta H = -32.4$  and 16.4 kcal/mol, respectively) and forms a zwitterionic intermediate, 56 ( $E^\circ = 0.70$ ). The reaction between guanine radical and methylamine radical produces 56 as well. Intermediate 56 can also be formed via the water-assisted addition of methylamine across the C4–N3 double bond of Grad (26.1 kcal/mol barrier), followed by loss of the N3 hydrogen from 55 by <sup>3</sup>BP oxidation. Tautomerization of 56 to a different zwitterionic species, 57, is required before the system can undergo the ring rearrangement reaction to form the spiro intermediate, 58. In the second addition step, water or methylamine can add to



**Figure 6.** Comparison of the relative enthalpies (kcal/mol) of guanine adducts resulting from  $^3\text{BP}$  oxidation and addition of water at the C4 position of guanine radical followed by nucleophilic addition of methylamine or water at the C8 position calculated at the SMD/B3LYP/aug-cc-pVTZ//SMD/B3LYP/6-31+G(d,p) level of theory. On the right side of the figure, the red line corresponds to the addition of the second methylamine, and the black line corresponds to the water addition. The solid red line represents the most favored pathway.



**Figure 7.** Summary of the pathways for the formation of the guanine:methylamine cross-link mediated by  $^3\text{BP}$ . Numbers shown in blue correspond to the barrier for the addition reaction (in kcal/mol). For 2'-deoxyguanosine, the sugar would be attached to the position indicated by H'.

C8 of the spiro intermediate. Addition of a methylamine across the C8–N7 double bond of **58** has a barrier of 17.1 kcal/mol and leads to the formation of the 4-NCH<sub>3</sub>, 8-NHCH<sub>3</sub> oxidized spiro intermediate, **59** (exothermic by 2.4 kcal/mol). Addition of methylamine to C8 could occur before ring rearrangement, but the barriers are 4–8 kcal/mol higher. Compared to methylamine, the barrier for water addition to the C8–N7 double bond of **58** is 4.4 kcal/mol higher in energy and forms the 4-NCH<sub>3</sub>, 8-OH spiro intermediate, **63**. Oxidation of **59** and **63** requires  $^3\text{BP}$ , leading to intermediates that are readily oxidized by  $^3\text{BP}$  or O<sub>2</sub> to the

final products, 4,8-diNHCH<sub>3</sub>-Sp (**62**) and 4-NHCH<sub>3</sub>-Sp (**67**), respectively.

**C4 Addition of Water.** Scheme 7 and Figure 6 show the pathways for the addition of water to the C4 of guanine radical followed by the second nucleophilic addition of methylamine or water. Addition of water to the C4–N3 double bond of guanine radical (Grad), assisted by another explicit water molecule has a barrier of 30.1 kcal/mol and forms **68** (endothermic by 14.1 kcal/mol). 4-OH-Guanine radical, **68**, can lose hydrogen by  $^3\text{BP}$  oxidation to form a zwitterionic intermediate, 4-OH guanine, **70** ( $E^\circ = 0.75$  V). Rearrangement of the ring in **70**

produces the 4-oxo spiro intermediate, **71**. In the second addition step, adding methylamine across the C8–N7 double bond to produce **72** has a barrier that is 10.2 kcal/mol lower than that for adding water to produce **76**. Oxidation of **72** and **76** by <sup>3</sup>BP forms intermediates **73**, **74**, and **77**, **78**, respectively, that are easily oxidized by <sup>3</sup>BP or O<sub>2</sub> to the final products, **8-NHCH<sub>3</sub>-Sp** isomer, **75** and **Sp** isomer, **80**.

The results presented above indicate that neither methylamine nor water addition at C4 of guanine is favored over C8 addition. Although methylamine addition at C4 of guanine radical has a lower barrier than water addition, both processes are endothermic. Unlike in the C8 or C5 addition pathways, the rearrangement to the spirocyclic intermediate is predicted to occur before the addition of a second nucleophile.

## SUMMARY

Potential energy surfaces for the triplet benzophenone initiated, radical mediated oxidation of guanine leading to the formation of the mono- and dimethylamine substituted spiroiminodihydantoin products have been mapped out using DFT calculations with SMD solvation. In addition to barrier heights and enthalpies, pK<sub>a</sub>'s and reduction potentials were calculated for intermediates to find the lowest energy paths. These pathways are summarized in Figure 7. The product yields depend on the relative concentrations as well as barrier heights and reaction energies. The addition of protonated methylamine to C8 of guanine radical is endothermic, but addition of neutral methylamine is exothermic. C8 addition of neutral methylamine is kinetically preferred over C5 and C4 addition. Likewise, the addition of neutral methylamine radical at C8 of guanine is preferred over addition at C5 and C4. Even though the coupling of methylamine radical and guanine radical is barrierless, this rate should be lower because the radicals are expected to be short-lived and low in concentration. The barriers for water addition to C8, C5, and C4 of neutral guanine radical are higher than the corresponding barriers for methylamine addition. In the absence of other nucleophiles, water addition at C8 is kinetically favored over C5 or C4. The barrier for C8 addition of water is much lower for guanine radical cation than for neutral guanine radical. Further oxidations by triplet benzophenone or O<sub>2</sub> and loss of protons are needed before the second nucleophilic addition can take place. Again, methylamine addition has lower barriers than water addition. After the initial addition, all of the steps are exothermic and expected to proceed rapidly. Starting with the addition of methylamine at C8 of **Grad**, oxidation by <sup>3</sup>BP or O<sub>2</sub> leads to an 8-methylamine substituted oxidized guanine, **4**, which is the methylamino analogue of 8-oxoG, **17**. After oxidation of **4** or **17** by <sup>3</sup>BP, the barriers for the addition of methylamine across the C5–N7 double bond in **6** or **19** are significantly lower than that for the corresponding addition of water. Nevertheless, if the concentration of methylamine (or lysine) is low, water addition could be competitive with methylamine addition. This would lead to comparable fractions of **8-NHCH<sub>3</sub>-Sp** and **5,8-diNHCH<sub>3</sub>-Sp**, in agreement with the experimental observations.<sup>24</sup> For larger concentrations of methylamine, the fraction of 5,8-dimethylamine substituted products should increase, in agreement with experimental observations.<sup>24</sup> Higher pH, which shifts the equilibrium more toward unprotonated methylamine, should also increase the yield of the 5,8 methylamine adduct, as seen in related experiments.<sup>21</sup> However, low pH or hydrogen bonding in a base pair stabilizes guanine radical cation and favors the addition of water at C8, in

agreement with experimental data at pH 2.5<sup>50</sup> and in double stranded DNA.<sup>51</sup>

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.chemrestox.6b00057.

Optimized molecular geometries in Cartesian coordinates for all of the reactants, intermediates, transition states, and products (PDF)

Total and relative energies for various compounds, details of the calculation of the pK<sub>a</sub>'s and reduction potentials (XLSX)

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### Notes

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## ABBREVIATIONS

DPC, DNA–protein cross-link; <sup>3</sup>BP, triplet benzophenone; G, guanine; Gradcat, guanine radical cation; Grad, guanine radical neutral; 8OHGrad, 8-hydroxy-7,8-dihydroguanine radical neutral; 8-oxoG, 8-oxo-7,8-dihydroguanine; Sp, spiroiminodihydantoin; Gh, guanidinohydantoin; Iz, 2,5-diamino-4H-imidazole; 5-NHCH<sub>3</sub>-Sp, 5-methylamino-spiroiminodihydantoin; 5,8-diNHCH<sub>3</sub>-Sp, 5,8-dimethylamino-spiroiminodihydantoin; 8-NHCH<sub>3</sub>-Sp, 8-methylamino-spiroiminodihydantoin

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